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Prepared by Andhra Pradesh Industrial Infrastructure Corporation Limited, Andhra Pradesh for the Asian Development Bank

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CURRENCYEQUIVALENTS

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Re1.00 = \$ 0.0155 \$1.00 = Rs 64.3912

ABBREVIATIONS

ADB – Asian Development Bank

APIIC – Andhra Pradesh Industrial Infrastructure Corporation

Limited

APTransco – Andhra Pradesh Transmission Corporation Ltd

GLSR - Ground Level Service Reservoir

GOI – Government of India

GRC – Grievance Redressal Committee

1&CAD – Irrigation and Command Area Development

IAY – Indira Awaas Yojana MSP – Minimum Support Price

NGO – Non Government Organization
PIU – Project implementation Unit
RDO – Revenue Divisional Officer

RFCTLARR – The Right to Fair Compensation and Transparency in Land

Acquisition, Rehabilitation and Resettlement Act, 2013

R&R – Rehabilitation and Resettlement
SPS – Safeguard Policy Statement
SSO – Social Safeguard Officer
SSR – Standard Schedule of Rates
SST – Summer Storage Tank

TMC – Thousand Million Cubic Feet

VCICDP – Visakhapatnam Chennai Industrial Corridor Development

Program

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EXECUTIVE SUMMARY

- 1. **Background.** The proposed Visakhapatnam-Chennai Industrial Corridor Development Program (VCICDP) will complement ongoing efforts of the Government of Andhra Pradesh (GoAP) to enhance manufacturing sector growth and create high quality jobs in the state of Andhra Pradesh. The VCICDP comprises: (i) a policy-based loan to support policy reforms, and institutional development in the state's industrial sector; and (ii) a multitranche financing facility (MFF) for priority infrastructure projects within the Visakhapatnam-Chennai Industrial Corridor (VCIC).
- Subproject Description. Naidupeta cluster is located 8 km west of Naidupeta in Nellore district of Andhra Pradesh. The Naidupeta Cluster forms a part of the Yerpedu - Srikalahasti node of the proposed Vizag-Chennai Industrial Corridor. APIIC created basic infrastructure in MPSEZ, IP-Naidupeta and IP-Attivaram which includes internal roads, water supply through their internal finances. APIIC also facilitated the power supply to the existing units from APTRANSCO (Transmission Corporation of Andhra Pradesh). APIIC is now receiving enquires from Engineering, Pharmaceutical, Leather (finished goods), Textiles (apparel), and Food Processing Industries. Despite having the advantage of proving industrial land at competent price, APIIC is unable to realise the demand owing to lack of continuous water supply, common effluent treatment plant and also a direct connectivity from nearest National Highway AH45 (earlier known as NH5) for guicker evacuation. In view of the proposed interventions under VCIC project, the demand for industrial land especially from engineering, Pharmaceutical, textile sectors is expected to increase. Taking into view the requirements being sought by the possible entrepreneurs and the anticipated industrialisation due to VCIC, APIIC is planning to upgrade the infrastructure in the Naidupeta Cluster and one of the identified infrastructure is 'Bulk Water Supply from Telugu Ganga Canal Project to MPSEZ, IP Naidupeta and IP Attivaram and summer storage in Naidupeta Industrial cluster.

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- 3. **Resettlement Plan.** This Resettlement Plan is prepared for investments proposed for subproject components of providing bulk water facility and summer storage in Naidupeta Industrial cluster subproject under tranche 1 of VCICDP based on detailed design and detailed measurement survey. It addresses the IR impacts of the proposed subproject components and is consistent with the agreed Resettlement Framework and ADB's SPS 2009
- 4. **Scope of Land Acquisition and Resettlement**: The total land requirement for the intake and summer storage tank has been estimated as 63.50 acres. Intake land is owned by Irrigation and Command Area Development Department and the land proposed for Summer Storage Tank is owned by Andhra Pradesh Industrial Investment Corporation (APIIC). No land acquisition is envisaged for proposed components and alignment.
- 5. Efforts have been made in detailed design to avoid or minimize resettlement impact through careful design of pipe alignments for transmission mains through available, existing right of way of the Venkatagiri-Naidupeta road. Potential impact of 17.800 km proposed transmission main was assessed through detailed measurement survey and no relocation or permanent economic impact has been identified as a result of proposed pipe-laying activity. Only potential temporary income loss to 8 shopkeepers and 2 kiosks for transmission main pipelaying activity for a period of 15 days each has been identified
- 6. The Resettlement Plan proposes assistance for temporary loss of income to affected persons based on the entitlement matrix prepared for the project. Mitigation measures are also

included in the Resettlement Plan cost. Potential losses that can be avoided/mitigated through proper scheduling of work, avoidance of impact to businesses where possible, provision of planks for access to shops and businesses and traffic management plans to avoid disruption have been proposed.

- 7 **Categorisation.** The subproject is classified as Category B in accordance with ADB's Safeguard Policy Statement (SPS).
- 8 **Consultation and Disclosure.** Goals and objectives of the project have been disclosed to stakeholders (beneficiaries, affected persons, stakeholder agencies) through consultation meetings and focus group discussions. A program of continuous consultation and disclosure is proposed.
- Institutional Setup. The State of Andhra Pradesh acting through its Department of Industries will be the executing agency (EA). The Directorate of Industries within the Department of Industries will be responsible for the daily coordination and execution of both the Program as well as the MFF. A program management unit (PMU), established in the DOI, will be responsible for planning, implementation, monitoring and supervision, and coordination of all activities under the Program and the MFF. Project implementation unit (PIU) will be established in Andhra Pradesh Industrial Investment Corporation (APIIC) and will be responsible for implementing the MFF. Project Management Supervision Consultants will facilitate the planning and implementation of the subproject, having social safeguards personnel for Resettlement Plan planning and implementation activities, internal monitoring and reporting, and including a critical role in capacity building of APIIC PIU and contractors for Resettlement Plan planning, implementation and monitoring.
- 10 **Resettlement Budget and Financing Plan.** The resettlement cost estimate for the subproject under VCICDP MFF tranche 1 isINR.0.64 million.

I. PROJECT DESCRIPTION

A. Introduction

- 1. The proposed Visakhapatnam-Chennai Industrial Corridor Development Program (VCICDP) will complement ongoing efforts of the Government of Andhra Pradesh (GoAP) to enhance manufacturing sector growth and create high quality jobs in the state of Andhra Pradesh. The VCICDP comprises: (i) a policy-based loan to support policy reforms, and institutional development in the state's industrial sector; and (ii) a multitranche financing facility (MFF) for priority infrastructure projects within the Visakhapatnam-Chennai Industrial Corridor (VCIC).
- The envisaged outputs of VCICDP include: (i) ease of doing business improved; (ii) VCIC infrastructure strengthened; and (iii) institutional capacities and program management strengthened. The Program will support output 1; and the MFF will support outputs 2 and 3. Subprojects identified for funding under Tranche 1 of the MFF include: (i) Package APIIC/01: Construction of Common Effluent Treatment plant at Atchutapuram and Naidupeta Industrial cluster; (ii) Package APIIC/03: Augmenting utility services for Naidupeta Industrial cluster. [SWD, power, internal road and one stop centre]; (iii) Package APIIC/04: Providing bulk water facility and summer storage in Naidupeta Industrial cluster; (iv) Package GVMC/02: Distribution Network improvements for NRW reduction and 24x7 supply in GVMC area; (v) Package APRDC/01: Upgrading and Rehabilitation of 'Samarlakota to Rajanagaram' Section of 'Kakinada - Rajanagaram' Road to '2 Lane Dual Carriageway Standard; (vi) Package AP Transco/01: Augmenting power distribution capacity for meeting Industry demand at Kapuleppada, Ozone Valley, Nakkapalle/ Chandanada and Achutapuram locations; and (vii) APTransco/02: Augmenting power distribution capacity for meeting Industry demand at Rachagunneri, Naidupeta and Yerpedu locations. All Tranche 1 subprojects are to be implemented in 4 years, from 2016 to 2019.
- 3. The State of Andhra Pradesh acting through its Department of Industries will be the executing agency (EA). The Directorate of Industries within the Department of Industries will be responsible for the daily coordination and execution of both the Program as well as the MFF. A program management unit (PMU), established in the DOI, will be responsible for planning, implementation, monitoring and supervision, and coordination of all activities under the Program and the MFF. Project implementation units (PIUs) will be established in Andhra Pradesh Industrial Infrastructure Corporation Limited (APIIC), Andhra Pradesh Road Development Corporation (APRDC), Andhra Pradesh Power Transmission Corporation of Andhra Pradesh (APTransco), and Greater Visakhapatnam Municipal Corporation (GVMC), and will be responsible for implementing the MFF.

B. Subproject Description

4. Naidupeta cluster is located 8 km west of Naidupeta in Nellore district of Andhra Pradesh. The Naidupeta Cluster forms a part of the **Yerpedu – Srikalahasti node** of the proposed **Vizag-Chennai Industrial Corridor.** APIIC created basic infrastructure in MPSEZ, IP-Naidupeta and IP-Attivaram which includes internal roads, water supply through their internal finances. APIIC also facilitated the power supply to the existing units from APTRANSCO (Transmission Corporation of Andhra Pradesh). APIIC is now receiving enquires from Engineering, Pharmaceutical, Leather (finished goods), Textiles (apparel), and Food Processing Industries. Despite having the advantage of proving industrial land at competent price, APIIC is unable to realise the demand owing to lack of continuous water supply, common effluent

treatment plant and also a direct connectivity from nearest National Highway AH45 (earlier known as NH5) for quicker evacuation. In view of the proposed interventions under VCIC project, the demand for industrial land especially from engineering, Pharmaceutical, textile sectors is expected to increase.

- 5. Taking into view the requirements being sought by the possible entrepreneurs and the anticipated industrialisation due to VCIC, APIIC is planning to upgrade the infrastructure in the Naidupeta Cluster and one of the identified infrastructure is 'Bulk Water Supply from Telugu Ganga Canal Project to MPSEZ, IP Naidupeta and IP Attivaramand summer storage in Naidupeta Industrial cluster'.
- 6. This Draft Resettlement Plan is prepared for the proposed subproject of bulk water facility and summer storage in Naidupeta Industrial cluster, aimed at providing assured water supply to the industries in MPSEZ, IP Naidupeta and IP, proposed under tranche 1 of VCICDP. The Draft Resettlement Plan is prepared on the basis of technical details made available by the DPR consultants for the subproject. The subproject is classified as "Category B" for Involuntary Resettlement (IR) impact as per ADB's Safeguard Policy Statement (SPS), 2009 as all subproject components are proposed in APIIC land and only temporary income loss is envisaged in laying of transmission lines.

C. Proposed Subproject Components

- 7. The components of the bulk water supply system include: (i) intake of water at Utla Palli (From Telugu Ganga Canal);(ii) pumping main system;(iii) laying of transmission mains; and (iv) summer storage tank (SST).
- 8. The bulk water for the Naidupeta cluster will be sourced from Telugu Ganga Canal near Utlapalli Village, which is around 19km west of Naidupeta Cluster. The allocated water for Naidupeta cluster is 0.5 TMC as per the G.O. 184 of AP dated 04.08.2007 (Appendix-1). The Irrigation and Command Area Development (I&CAD) Department will be providing the off take structure at an identified location with an agreement between I&CAD and APIIC. Further, the raw water from canal through off take structure shall be taken care by APIIC. It is proposed to lay a gravity pipe of 700mm Dia. DI Class K7 pipe, from off take structure to proposed new sump of 1000KL capacity (30min. retention time), from where the water is proposed to be pumped to proposed summer storage tank located within the Naidupeta Cluster in APIIC land. The raw water transmission main of 700m dia will be laid from intake location to the proposed SST at MPSEZ along the existing Venkatagiri-Naidupeta Road.

Table 1: Proposed Subproject Components involving civil work

S. No.	Component	Location	Component Description	IR Impacts
1	Off take structure	On Telugu Ganga Canal near Utlapalli Village	Off take structure is proposed within the Telugu Ganga Canal at Utlapalli village	Existing canal carrying water
				No impact
2	Gravity pipe line from off take to intake	I&CAD land Utlapalli Village	Laying of gravity pipe of 700mm Dia. DI Class K7 pipe, from off take structure to proposed new sump of 1000KL capacity	Vacant and unused Government land, free from

S. No.	Component	Location	Component Description	IR Impacts
				encumbrance and without any non-titled use.
				No impact
3	Pumping main system	I&CAD land Utlapalli Village	Proposed new sump of 1000KL capacity, from where the water is proposed to be pumped to SST	Vacant and unused Government land, free from encumbrance and without any non-titled use.
4	Transmission main	Along the road	17.8km transmission main	No impact Temporary
		margins of the	will be laid along the road margin of Venkatagiri- Naidupeta Road	income loss to 5 shops, 3 shop cum residence and 2 kiosks
5	Summer storage tank	Inside MPSEZ	A summer storage tank of 10mld capacity will be constructed in 102.84 acres of APPIC land within the MPSEZ	Vacant and unused APIIC land earmarked for summer storage is free from encumbrance and without any non-titled use.

- 9. Measures to avoid and minimize private land acquisition and involuntary resettlement impacts include identification of APIIC and I&CAD land for construction of intake and summer storage tank and transmission line is proposed along the road margin in land belonging to Roads and Bridges (R&B) Department, Government of Andhra Pradesh.
- 10. Traffic management during pipe laying work and ensuring access to shops and businesses by providing pedestrian access through planks is proposed. Where excavation close to properties / residences cannot be avoided, simple mitigation measures such as provision of planks are proposed as per available guidelines in EMP and best practice. Timely information will be provided to the public about potential negative impacts and mitigation measures, including grievance redress procedures and time taken for the same, prior to start of project implementation.

D. Objectives of the Resettlement Plan

- 11. This Resettlement Plan is prepared for investments proposed for subproject components of providing bulk water facility and summer storage in Naidupeta Industrial cluster subproject under tranche 1 of VCICDP. It addresses the IR impacts of the proposed subproject components and is consistent with the agreed Resettlement Framework and ADB's SPS 2009.
- 12. This Resettlement Plan is prepared in accordance with ADB SPS requirements for involuntary resettlement Category B projects and to meet the following objectives:
 - (i) to describe the identified scope and extent of land acquisition and involuntary resettlement impacts as a result of identified project components, and address them through appropriate recommendations and mitigation measures in the Resettlement Plan;
 - (ii) to present the socio-economic profile of the population in the project area, identify social impacts, including impacts on the poor and vulnerable, and the needs and priorities of different sections of the population, including women, poor and vulnerable;
 - (iii) to describe the likely economic impacts and identified livelihood risks of the proposed project components;
 - (iv) to describe the process undertaken during project design to engage stakeholders and the planned information disclosure measures and the process for carrying out consultation with affected people and facilitating their participation during project implementation;
 - (v) to establish a framework for grievance redressal for affected persons (APs) that is appropriate to the local context, in consultation with stakeholders;
 - (vi) to describe the applicable national and local legal framework for the project, and define the IR policy principles applicable to the project;
 - (vii) to define entitlements of affected persons, and assistance and benefits available under the project;
 - (viii) to present a budget for resettlement and define institutional arrangements, implementation responsibilities and implementation schedule for resettlement implementation; and
 - (ix) to describe the monitoring mechanism that will be used to monitor resettlement plan implementation

Figure 1: Google Earth Maps depicting Proposed Subproject Components





Figure 2: **MPSEZ Layout Plan - Depicting SST Location**

Figure 3: SST Key Plan

SST Location -- MPSEZ



II. SCOPE OF LAND ACQUISITION AND RESETTLEMENT

A. Land acquisition and involuntary resettlement

- 13. The scope of land acquisition and resettlement is identified based on field visits to the subproject sites and alignments. Proposed interventions and their potential IR impacts are presented sub-project component-wise, in Table 1. Identification of temporary income loss along the transmission main alignment is based on detailed measurement surveys carried out jointly by the DPR Consultants and APIIC.
- 14. The total land requirement for the intake and summer storage tank has been estimated as63.50 acres. Intake land is owned by Irrigation and Command Area Development Department and the land proposed for Summer Storage Tank is owned by APPIC. No land acquisition is envisaged for proposed components and alignment. For details of land requirement at each component, refer Table 2 below. Land records to establish ownership of APIIC is given in Appendix-2.

SI. No.	Proposed Component	Location of Proposed Site	Land Ownership Details	Land area required/available for proposed structure (in acres)
1	Intake	Near Telugu Ganga Canal at Utlapalli village	Vacant I&CAD land, free from encumbrance and without any non-titled users	3.50
2	Summer Storage Tank	Inside MPSEZ	Vacant APIIC land, free from encumbrance and without any non-titled users	60.00
		Total land required, in acres		63.50

Table 2: Details of land required for proposed subproject components

- 15. There will be no physical or permanent economic displacement in this subproject. The subproject will cause temporary income loss to shops and kiosks in 2-settlements viz. Melchuru (20/000 21/800) and Gollagunta (25/200 25/800) due to laying of transmission main. Temporary loss of income is envisaged for 15-days, the duration of laying transmission main along these sections, for 8 shops and 2 kiosks.
- 16. Efforts have been made in detailed design to avoid or minimize resettlement impact through careful design of pipe alignments for transmission mains through available, existing right of way of the Venkatagiri-Naidupeta road.
- 17. Potential impact of 17.800 km proposed transmission main was assessed through detailed measurement survey and no relocation or permanent economic impact has been identified as a result of proposed pipe-laying activity. Only potential temporary income loss to 8 shopkeepers and 2 kiosks for transmission main pipelaying activity for a period of 15 days each has been identified.

18. The contract will have specific provisions related to impact avoidance. The contractor will be required to maintain access to shops and residences, and safety through hard barricading of excavated alignments along the road. Care will be taken to avoid economic impacts through provision of planks to ensure pedestrian access. These businesses that need not shift, will be provided access by the contractor by providing planks for pedestrian access. Such measures are required in about 12 locations along the transmission main alignment, 10 locations pertaining to the sops/kiosks an 2 locations being entry into the village habilitation.

Table3: Summary of IR Impacts

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S.No.	Details	Affected persons (No.)	Remarks					
		/affected land area (sq. m)						
1	Permanent land acquisition	None	All proposed sites belong to APIIC / I&CAD / R&B					
2.	Permanent relocation impact	None	The intake and SST sites are vacant sites and transmission main does not cause any permanent relocation impact					
3.	Permanent impact: structure loss	None	No structure will be impacted					
4.	Temporary income loss (transmission main)	10 numbers	8 shops and 2 kiosks will face temporary disruption to business due to excavation work and is estimated to be for a maximum of 15-days period during which the excavation work will be completed.					
5.	Affected IP	None	-					

- 19. Through implementation of the Environmental Management Plan (EMP), contractors are required to maintain access to shops to avoid and limit the disturbance to the extent possible, and implement mitigation measures specified in their contracts, which will be monitored by the design supervision consultants and PIU.¹Temporary income loss to businesses along the pipe routes will be avoided and/or mitigated through careful planning of the timing of implementation of works in the 2-settlement area and maintaining access to shops during the construction period as outlined in the EMP.
- 20. The following mitigation measures are proposed to avoid and/or mitigate temporary disruption to businesses and residents during linear works:(i) provision of advance notice to community, (ii) conducting awareness campaigns on the proposed project, its benefits, potential temporary disruption and mitigation measures, grievance redress mechanism, etc., (iii) maintaining access by providing planks and leaving spaces to avoid disturbance to residents and businesses, (iv) managing traffic flows as per the traffic management plan prepared by the contractor in coordination with local authorities and communities, and (v) placing details of telephone hotlines and contact information of PIU offices in signages in visible places. These measures will be enforced through the contract, which will have these as clauses.

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¹ The Project Management Supervision Consultant (PMSC) will be responsible for construction supervision.

B. Indigenous Peoples

21. No adverse impacts to indigenous peoples are anticipated, as there are no Scheduled Tribe households amongst the APs enumerated during census survey for temporary income loss.

III. SOCIO-ECONOMIC INFORMATION AND PROFILE

A. Profile of Affected Persons

- 22. Shop owners/kiosks (10 APs) facing potential temporary income loss during distribution pipe network laying activity, have businesses located close to the shoulders of the Venkatagiri to Naidupeta Road. Types of shops include petty shop, eatery, tea stall, cycle puncture shop and shop selling fancy items. The reported average daily profit to shop owners is Rs.390 (ranging between Rs.180-Rs.600).
- 23. The average size of the family is 3.2 (ranging from 2 to 4-memberfamilies) and all 10 families are vulnerable. While 7-shopkeepers did not envisage any business loss due to excavation of trenches for transmission main, 1-kiosk owner reported that it will affect the customers coming to the kiosk and 2-others being absentees their perception could not be ascertained.
- 24. No permanent land acquisition and relocation impacts are envisaged. The profile of temporarily income loss persons is based on 100% survey of transmission main alignment from intake to STT. The photographs of shops / kiosks that would face temporary loss of income due to excavation work during transmission main laying is given in Appendix-8.

Table 4: Socio-economic Profile of Affected Persons facing Temporary Income Loss (Transmission main alignment from Venkatagiri to Naidupeta - 2 Settlements)

	(Transmission main angliment from Verikatagin to Naidupeta - 2 Settlements)									
SI. No.	Name of AP	Village name	Caste, Religion	Use of Structure facing temporary disruption	Type of Shop / Business	Average Daily Income (in Rs)	Vulnerable ² status	Tenure Status of shops/kiosks	Years of stay at the location	HH size
1	Ravi. K	Gollagunta	OBC, Hindu	Shop	Petty shop	290	BPL ³	Encroacher	6	4
2	Sallbujamma (w/o Late Salla Raju)	Gollagunta	OBC, Hindu	Shop	Eatery	-	WHH	Encroacher	10	2
3	Ramiah S	Gollagunta	SC, Hindu	Kiosk	Petty items	180	SC & BPL	Squatter	3	2
4	Shop closed (absentee)	Gollagunta	OBC, Hindu	Kiosk	Petty shop	-	BPL	Squatter	-	-
5	Ganesh	Melchuru	OBC, Hindu	Shop	Petty shop	-	BPL	Encroacher	10	3
6	Balakrishna. V	Melchuru	OBC, Hindu	Shop	Tea stall	300	BPL	Squatter	3	3
7	Venkateswaralu. C	Melchuru	OBC, Hindu	Shop	Petty shop	600	BPL	Encroacher	10	4
8	Dasari Penchilaiah. C	Melchuru	OBC, Hindu	Shop	Eatery and Fancy items	600	BPL	Encroacher	7	4
9	Raja (absentee)	Melchuru	OBC, Hindu	Shop	Petty shop	-	BPL	Squatter	-	-
10	Avula Shankar Reddy. G	Melchuru	OBC, Hindu	Shop	Cycle puncture shop	360	BPL	Encroacher	20	4

Source: Census survey of affected persons, 08 December 2017

² Vulnerable include: Women Headed Households (WHH), households with physical/mentally disabled members, Below Poverty Line Households (Antyodaya Anna Yojana (AAY) and Annapurna Scheme (AP) card holder households), landless, elderly, women and children, scheduled caste households, scheduled tribe households and those without legal tytle.

³ The GoAP considers the Antyodaya Anna Yojana (AAY) and Annapurna Scheme (AP) card holder households as Below Povert Line (BPI)

IV. INFORMATION DISCLOSURE, CONSULTATION, AND PARTICIPATION

A. Public Consultation

25. The Resettlement Plan was prepared in consultation with stakeholders including affected persons, beneficiaries/local people, and APPIC officials. Interviews, consultations and focus group discussions (FGDs) were conducted to seek feedback from local people and other important stakeholders(30 persons, of which 33% were women) on the proposed project activities, temporary disruption to shops in terms of access being affected during the excavation period, perceived impacts and mitigation measures and their participation. Appendix 3 provides a summary of consultations, meetings and focus group discussions. The affected persons' main concern was of not having proper drinking water supply from the present Panchayat supply system.

B. Information Disclosure

26. Information dissemination and disclosure has been a continuous process since the beginning of the program. The approved Resettlement Framework and Resettlement Plan will be placed in the APIIC Naidupeta Zonal Office. The PMSC and PIU will continue consultations, information dissemination, and disclosure. A strategy for continued consultation and participation is in the Resettlement Framework. The finalized/approved Resettlement Plan will also be disclosed in ADB's website, as well as PMU (DoI) and PIU(APIIC)websites. Project information will be continually disseminated through disclosure of resettlement planning documents, as and when updated. Information on compensation, entitlements and resettlement planning and management principles adopted for the subproject will be made available in the local language (Telugu) and the same will be distributed to APs. The consultation process will be continuous, through the project cycle. Project information disclosure (PID) leaflet containing the Executive Summary of this Resettlement Plan and the Entitlement Matrix, and contact numbers of PIU, contractor and PMSC social safeguards personnel, and grievance redress hotline number will be distributed among affected persons and beneficiaries. A draft PID leaflet is provided in Appendix 5.

C. Continued Consultation and Participation

27. The PIU/PMSC will extend and expand the consultation and disclosure process during the construction period. Extended consultation will be required especially for transmission main where temporary loss of income and temporary access issue will arise during excavation of trenches for laying of pipes. The project management supervision consultants will conduct training of contractors (engineers as well as safeguards personnel), PIU staff, and with the support of PIU, conduct a public awareness campaign during project implementation. A consultation and participation plan (CPP) is prepared for the project; PIU will be assisted by PMSC to ensure that the communities in project areas are fully aware of project activities at all stages of construction. Community groups will be consulted and made aware of the civil works and project activities, anticipated impacts and mitigation measures, grievance redress process and contact details of PIU personnel prior to construction.

V. GRIEVANCE REDRESS MECHANISM

A. Common Grievance Redress Mechanism

- 28. Project GRM will be established at three levels as indicated below and covers both environment and social issues. The GRM will be established to evaluate, and facilitate the resolution of APs' concerns, complaints, and grievances related to social and environmental issues of the project. The GRM will aim to provide a time-bound and transparent mechanism to voice and resolve social and environmental concerns linked to the project.
- 29. The GRM will be disclosed to the affected communities and households prior to the mobilization of contractors in any subproject areas. The Project grievance redress committee, supported by the PMSC consultants as well as the PMU and PIU safeguard officers will be responsible for timely grievance redress on environmental and social safeguards issues and responsible for registration of grievances, related disclosure and communication with the aggrieved party. A complaint register will be maintained at field unit, PIU and PMU levels with details of complaint lodged, date of personal hearing, action taken and date of communication sent to complainant. Contact details, procedures and complaint mechanism will be disclosed to the project affected communities at accessible locations and through various media (i.e. leaflets, newspapers, etc.). Samples of draft project leaflets, Grievance Registration Forms and monitoring templates are in the resettlement framework.
- 30. A common GRM will be in place for social, environmental, or any other grievances related to the project. Every grievance shall be registered and careful documentation of process with regard to each grievance undertaken, as explained below. The PIU environmental and social safeguards officers will have the overall responsibility for timely grievance redress on environmental and social safeguards issues, including keeping and maintaining the complaint and redress records. Public awareness campaign will be conducted to ensure that awareness on the project and its grievance redress procedures is generated.
- 31. Affected persons will have the flexibility of conveying grievances/suggestions by sending grievance redress/suggestion in writing, through telephone call to Zonal Manager, APIIC PIU safeguard officer, or by writing in the complaints register at the Zonal Office, submitting complaints/suggestion by email to APIIC. The Resettlement Framework provides the sample grievance registration form. Careful documentation of the name of the complainant, date of receipt of the complaint, address/contact details of the person, location of the problem area, and how the problem was resolved will be undertaken. The PIU safeguard officers will have the overall responsibility for timely grievance redressal on environmental and social safeguards issues and for registration of grievances, related disclosure, and communication with the aggrieved party.

B. Grievance Redressal Committee

32. Grievance Redressal Committee (GRC) at district level will receive, evaluate and facilitate the resolution of displaced persons concerns, complaints and grievances. The GRC will provide an opportunity to the DPs to have their grievances redressed prior to approaching the State level LARR Authority, constituted by GoAP in accordance with Section 51(1) of the RFCTLARR Act, 2013. The GRC is aimed to provide a trusted way to voice and resolve concerns linked to the project, and to be an effective way to address displaced person's concerns without allowing it to escalate resulting in delays in project implementation.

- The GRC will continue to function, for the benefit of the DPs, during the entire life of the project including the defects liability period. The entire resettlement component of the project has to be completed before the construction starts, and pending grievances resolved. Other than disputes relating to ownership rights and apportionment issues on which the LARR Authority has jurisdiction, GRC will review grievances involving all resettlement benefits, relocation and payment of assistances. The GRCs will function out of each district where the subprojects are being implemented. The existing setup for coordination, monitoring and grievance redress at district level which meets once a month, will be used for VCICDP. The district level GRC will be chaired by Joint Collector and comprise the Project Engineer of the concerned field unit acting as its member secretary, and the following members: (i) RDO or subcollector of the division; (ii) Project Director, DRDA; (iii) Chief Executive Officer, Zilla Parishad; (iv) District Panchayat Officer; (v) District Education Officer; (vi) District Medical and Health Officer; (vii) district level representative of DISCOM; (viii) Superintendent, RWS Panchayat Raj Department: (ix) three members from DPs, with at least one of them a woman DP; and (x) Team Leader of the resettlement plan implementation support NGO or agency. The contact details of the grievances redressal committee, PIUs safeguards manager, and the resettlement plan implementation NGO/agency will be included in the brochures to be circulated among all affected people as a first step in resettlement plan implementation.
- 34. The Project Director, PMU will be the appellate authority who will be supported by the PMSC and Safeguard Officer of PMU and concerned PIUs to make final decisions on the unresolved issues.

C. Grievance Redressal Process

- 35. In case of grievances that are immediate and urgent in the perception of the complainant, the contractor and PMSC on-site personnel will provide the most easily accessible or first level of contact for quick resolution of grievances. Contact phone numbers and names of the concerned Zonal Manger, PIU safeguard officers and contractors will be posted at all construction sites at visible locations. The PIU safeguard officers will be responsible to see through the process of redressal of each grievance.
 - (i) **1st Level Grievance.** The phone number of the PIU office should be made available at the construction site signboards. The contractors and field unit staff can immediately resolve onsite, seek the advice of the PIU safeguard manager as required, within seven days of receipt of a complaint/grievance.
 - (ii) 2nd Level Grievance. All grievances that cannot be redressed within seven days at field/ward level will be reviewed by the GRC at district level headed by Joint Collector. GRC will attempt to resolve them within 15 days. The PIU safeguard manager will be responsible to see through the process of redressal of each grievance.
 - (iii) 3rd Level Grievance. All grievances that cannot be redressed within 15 days at district level will be reviewed by the GRC at state level headed by the Project Director, PMU with support from district GRC, PMU social safeguards and gender officer (SSGO). PMU environmental safeguards officer, and PMC environment and social safeguards specialists. GRC will attempt to resolve them within 15 days. The PMU SSGO will be responsible to see through the process of redressal of each grievance pertaining to social safeguards.
- 36. **Court of Law.** Despite the project GRM, an aggrieved person shall have access to the country's legal system at any stage and accessing the country's legal system can run parallel to accessing the GRM and is not dependent on the negative outcome of the GRM.

- 37. **ADB Accountability Mechanism.** In the event that the established GRM is not in a position to resolve the issue, the affected person also can use the ADB Accountability Mechanism through directly contacting (in writing) the Complaint Receiving Officer (CRO) at ADB headquarters or the ADB India Resident Mission (INRM). The complaint can be submitted in any of the official languages of ADB's developing member countries. The ADB Accountability Mechanism information will be included in the project-relevant information to be distributed to the affected communities, as part of the project GRM.
- 38. GRCs will continue to function throughout the project duration. The grievance redress process is shown in Figure 3.

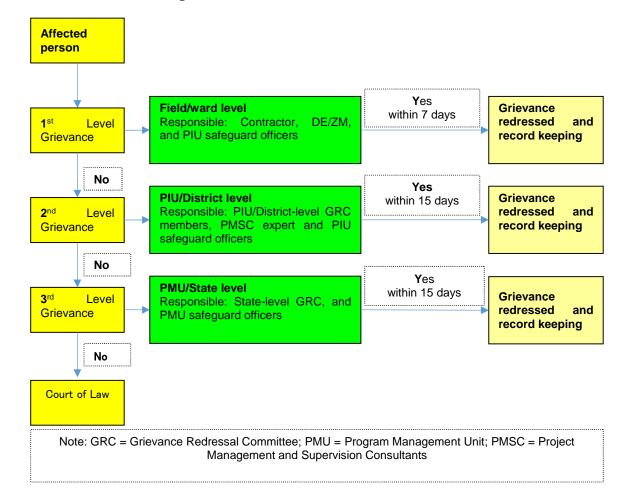


Figure 4: VCICDP Grievance Redress Mechanism

D. Functions of GRC

39. The PIU/District GRC should meet at least once in a month in the respective office of the jurisdictional Joint Collector. Petitions received from displaced persons of any concerns or complaints or grievances should be taken up in the GRC. The member secretary should maintain a register of all petitions received with details of date of receipt of the petition, date

of hearing, if any, date when it was considered by the committee, along with nature of complaint/concern, action taken and date of communication sent to petitioner. Communication, in writing should be sent to the aggrieved person about the date, time and venue of the GRC sitting and make it known that s/he is entitled for personal hearing and that representation through proxy will be not be entertained.

- 40. Copies of petitions received 1-week prior to the committee's sitting, should be sent to Chairman and all members along with an explanatory note from appropriate authority to enable the Chairman and members to scrutinize the petitions in detail. Petitions received in the week of the committee's sitting, shall be taken up during the sitting and resolved.
- 41. Decision of the committee will be final unless an appeal is preferred with the Project Director. If the committee is unable to arrive at a decision through consensus, the matter will be referred to the appellate authority with a note on opinion of the committee members.
- 42. The complaint / grievance will be redressed in five weeks' time and written communication will be sent to the complainant. A complaint register will be maintained at PIU and PMU level with details of complaint lodged, date of personal hearing, action taken and date of communication sent to complainant. If the complainant is still not satisfied s/he can approach the appellate authority and/or the jurisdictional sub court. The complainant can access the jurisdictional sub court at any time and not necessarily go through the GRC.A Sample Grievance Registration Form has been attached in Appendix 5.
- 43. **Recordkeeping.** Records of all grievances received, including contact details of complainant, date the complaint was received, nature of grievance, agreed corrective actions and the date these were affected, and final outcome will be kept by PMU. The number of grievances recorded and resolved and the outcomes will be displayed/disclosed in the PMU office, and on the web, as well as reported in the semi-annual social and environmental monitoring reports to be submitted to ADB.
- 44. **Periodic review and documentation of lessons learned**. The PMU, and PIUs, supported by the PMSC specialist will periodically review the functioning of the GRM and record information on the effectiveness of the mechanism, especially on the PIU's ability to prevent and address grievances.
- 45. **Costs**. All costs involved in resolving the complaints (meetings, consultations, communication and reporting/information dissemination) will be borne by the respective PIUs; while costs related to escalated grievances will be met by the PMU. Cost estimates for grievance redress are included in resettlement cost estimates.

VI. POLICY AND LEGAL FRAMEWORK

- 46. The policy framework and entitlements for the project are based on applicable laws and regulations of the national and state government, ADB's Safeguards Policy Statement 2009; and the agreed Resettlement Framework.
- 47. **ADB Safeguards Policy Statement (2009)** are (i) compensation to replace lost assets, livelihood, and income; (ii) assistance for relocation, including provision of relocation sites with appropriate facilities and services; and (iii) assistance for rehabilitation to achieve at least the same standard of living with the project as without it. In addition, the absence of legal title to

land should not be a bar to compensation. ADB SPS requires payment of compensation prior to actual loss.

- 48. **Government of India and Government of Andhra Pradesh Laws and Policies.** The applicable legal and policy frameworks of the government include: The Right to Fair Compensation and Transparency in Land Acquisition, Rehabilitation and Resettlement Act; 2013, and state policy: Government of Andhra Pradesh Rehabilitation and Resettlement Policy, 2005.⁴
- 49. The Resettlement Framework specifies that in case of discrepancy between the policies of ADB and the government, ADB policy will prevail.
- 50. Based on these, the core involuntary resettlement principles applicable are: (i) land acquisition, and other involuntary resettlement impacts will be avoided or minimized exploring all viable alternative subproject designs; (ii) where unavoidable, time-bound Resettlement Plans will be prepared and APs will be assisted in improving or at least regaining their pre-program standard of living; (iii) consultation with APs on compensation, disclosure of resettlement information to APs, and participation of affected persons in planning and implementing subprojects will be ensured; (iv) vulnerable groups will be provided special assistance; (v) payment of compensation to APs including non-titled persons (e.g., informal dwellers/squatters, and encroachers) for acquired assets at replacement rates; (vi) payment of compensation and resettlement assistance prior to the contractor taking physical acquisition of the land and prior to the commencement of any construction activities; (vii) income restoration and rehabilitation; and (viii) establishment of appropriate grievance redress mechanisms.
- 51. Policy framework and entitlements are discussed in detail in the Resettlement Framework. Appendix6to this Resettlement Plan provides a comparison of national, state and ADB policies and identifies how the Resettlement Framework addresses gaps in present policies.

VII. ENTITLEMENTS, ASSISTANCE AND BENEFITS

A. Types of Losses and Affected Person (AP) Category

- 52. The anticipated types of losses due to the proposed sub-project components under tranche 1 package VCICDP APIIC 04involves temporary income loss to shop owners/kiosks abetting the road margin of Venkatagiri Naidupeta Road. Impacts to vulnerable persons among them are also anticipated.
- 53. According to ADB SPS 2009 in the context of involuntary resettlement, affected persons (APs) are those who are physically relocated –i.e., lose residential land, or shelter and/or economically displaced (loss of productive land, structures, assets, access to assets, income sources, or means of livelihood). The absence of formal and legal title to the land does not bar the affected person from receipt of compensation and resettlement assistance from the project. Vulnerable APs are eligible for additional compensation and assistance and are to be accorded priority in employment in project related construction activities.

⁴The GoAP vide its order G.O. Ms. No.68 of Irrigation and CAD (Project Wing-LA-IV--R&R) Department, dated April 08, 2005 approved a comprehensive and uniform R&R policy applicable to projects implemented by all departments of GoAP.

54. Detailed Measurement Surveys (DMS) and Inventory of Loss Surveys was conducted for subproject component sites and transmission main alignment. Eligibility for compensation will be the date of the DMS i.e. in the case of this subproject it is 08 December 2017 and this date will serve as the cut-off date for eligibility. Hawkers or businesses who settle in the affected areas after the cut-off date will not be eligible for compensation. They will, however be given sufficient advance notice (at least 30 days) and assisted to vacate premises and dismantle affected structures, if any, prior to project implementation. Information regarding the cut-off date for eligibility to all types of compensation will be documented and disseminated throughout the project area.

B. Entitlements

55. The entitlement matrix (Table 7) summarizes the types of possible losses and corresponding entitlements in accordance with ADB and government policies, for temporary income loss.

C. Relocation

56. No relocation impact is anticipated.

D. Livelihood protection and Income Restoration

- 57. The Resettlement Plan proposes the following measures for livelihood protection for temporary loss of income:
 - (i) Temporary loss of income to shops and kiosks is anticipated. Temporarily affected persons will be given: (1) 30 days advance notice regarding construction activities, including duration and type of disruption; and (2) Compensation at the average net income/loss reported by similar types of affected businesses in the area for the period of disruption, or, three months of minimum wages whichever is more.
 - a. In this subproject, the period of disruption to business is expected to be only for a duration of 15-days during the excavation and laying of transmission main in a particular section. However, since the Entitlement matrix (EM) for this project envisages payment of higher of compensation for the period of disruption to business or 3-months at minimum wages, the APs have been provided 3-months minimum wages as compensation being higher than the income loss during the 15-day period.
 - (ii) Preferential employment in project-related work will be offered to local people, with priority to vulnerable persons. APs will be provided two reminders (after the 30-day advance notice), 7 days and 1 day before construction to ensure none or minimal disruption in livelihood. If required, they will also be assisted to temporarily shift for continued economic activity; for example, they will be assisted to shift to the other side of the road where there is no construction and then assisted to shift back, post-construction. Ensuring there is no income or access loss during sub-project construction is the responsibility of contractors. Consistent with the Environmental Management Plan, contractors will ensure access is maintained by making sure that space is left for access between mounds of soil, walkways and metal sheets provided to maintain access across trenches for people and vehicles where required, increased workforce is available to finish

work in areas with impacts on access, timing of works is such that it reduces disruption during business hours, phased construction schedule is followed and work undertaken on one segment at a time. Compensation and assistance to APs must be provided prior to start of civil works.

E. Procedures for assistance

58. **Temporarily affected persons.** The following steps are envisaged:

Step 1: Conduct public awareness and information dissemination prior to construction works (through PIU and PMSC)

Step 2: The PIU SM will distribute identity cards to affected persons

Step 3: Affected persons can then access the assistance provided from the project.

Step 4: APIIC PIU to pay assistance prior to commencement of transmission main excavation work. PIU SM to closely monitor these activities.

Step 5: PIU to keep accounts, record of affected persons, amounts paid, and

receipts record for accounting purposes and submit copies of records to

PMU.

Table 5: Entitlement Matrix

SNo	Impact Category		Entitlements	Implementation Guidelines
Section	IV. Temporary Impacts			
11	Temporary disruption to residences and shops during laying of transmission/distribution lines	11.1 11.2 11.3	Residential Temporary access to residences with adequate safety measures Commercial shops/vendors/kiosks All temporarily disrupted commercial activities will be provided with alternative temporary space to enable continuity in economic activities Compensation at the average net income/loss reported by similar types of affected businesses in the area for the period of disruption, or, three months of minimum wages whichever is more.	The project will provide alternate space in cases not involving permanent displacement. In the event that temporary displacement exceeds 3 months, monthly assistance will be payable at minimum wage rate for the duration of disruption.
Section	V. Impact to Vulnerable Displaced Pers	ons		
12	Vulnerable ⁵ Households	12.1	Training for skill development. This includes cost of training and financial assistance for travel/conveyance, food, and loss of wages for earning members for the duration of training. One time assistance of Rs.25,000 to AHs who have to relocate	One adult member of the affected household, whose livelihood is affected, will be entitled for skill development. The PIU will identify the number of eligible vulnerable displaced persons during joint verification and updating of the Resettlement Plan. The PIU will conduct training needs assessment in consultation with the APs so as to develop appropriate training programmes suitable to the skill and the region.
				Suitable trainers or local resource persons will be identified by PIU in consultation with local

⁵ Women Headed Households (WHH), households with physical/mentally disabled members, Below Poverty Line Households (Antyodaya Anna Yojana (AAY) and Annapurna Scheme (AP) card holder households), landless, elderly, women and children, scheduled caste households, scheduled tribe households and those without legal tytle.

SNo	Impact Category	Entitlements		Implementation Guidelines
		11.3	Preference in employment in project construction activities, and project-related permanent employment and industries in APIIC	training institutes. Assistance to be provided by PIU to vulnerable households to find suitable jobs.
Section VI	III. Unforeseen Impacts			

Unforeseen impacts encountered during implementation will be addressed in accordance with the principles of ADB SPS 2009.

VIII. COMPENSATIONMECHANISM

59. Affected structures, if any, will be compensated at replacement cost. The project will also ensure that any loss or damage to any private properties during construction work will be compensated at full replacement cost. Similarly, temporary loss of income will be compensated at replacement cost. The principle for determining valuation and compensation for assets, incomes, and livelihoods is replacing the loss of affected assets and restoring the loss of income and workdays experienced by the displaced persons as detailed below. Titleholders and non-titleholders are both entitled to compensation as per the agreed Resettlement Framework of VCICDP.

A. Replacement Value for Immovable Property

- 60. Replacement value for immovable property will be determined as follows:
 - (i) Structure. Compensation at replacement cost / market price of materials used (without considering depreciation) and labour cost to be determined by Valuation Committee for the project using the latest SOR and market prices of materials and labour. Displaced persons will have the right to salvage all movable assets attached with structure.

B. Valuation of Other Assets

61. Assistance for loss of income and livelihood, will also be paid to the displaced persons as per Entitlement Matrix. All compensation and resettlement assistances will be paid to the entitled displaced persons prior to commencement of civil works.

IX. RESETTLEMENT BUDGET AND FINANCING PLAN

A. Resettlement Costs

62. The resettlement cost estimate (Table 8) includes assistance for temporary income loss to shopkeepers and kiosks and consultation, grievance redress and awareness generation cost. The government will bear all Resettlement Plan costs related to assistance and mitigation and will be responsible for releasing the funds for resettlement in a timely manner. The estimated total resettlement cost for the subproject is INR 0.64 million. The resettlement cost items and estimates are outlined in Table 6.

Table 6: Resettlement Cost

SN	Details	Number		Unit	Total cost
0	Details	Number		cost	Total Cost
1	Satisfaction survey post-implementation		LS		1,00,000
2	Consultation, grievance redress		LS		1,00,000
3	Awareness Generation		LS		50,000
4	Mitigation measures^				
5	Provisional sum for compensation of income loss to shop owners/kiosks (transmission mains) #	10	3 - months	28089	2,80,890
6	Provisional sum for additional assistance to vulnerable temporarily impacted APs		LS		50,000
	Sub-total Sub-total			INR	5,80,890
	Contingency @ 10%			INR	58,089
	Grand Total			INR	6,38,979

Note: # The income loss for commercial activities works out to a maximum of Rs.9,000 (at Rs.600 per day for 15 days being the period of maximum disruption), which is based on highest daily profit reported during census survey and FGDs. Since the 3-month minimum wages⁶ of agricultural casual labourers in Zone-1 (highest rate in AP) being Rs.312.10 per day and Rs.28,089 for 3-months (90 days), is higher than the income loss for 1-month envisaged to the APs, the minimum wages is provided in accordance with the EM which stipulates that the higher of the two should be paid.

X. IMPLEMENTATION ARRANGEMENTS

A. Project Management Unit

- 63. The State of Andhra Pradesh through the Department of Industries (DoI) will be the Executing Agency (EA) for the project and the Project Director, Directorate of Industries will head the Project Management Unit (PMU) and will be in charge of overall coordination between the various Project Implementation Units (PIU) and in prioritising subprojects for subsequent tranches based on social safeguards compliance. A Project Steering Committee will be established to advise the PMU on policy, monitor the implementation of the Investment Program, and coordinate with PIUs on cross-cutting issues.
- 64. The Social Safeguards and Gender Officer (SSGO) in the PMU with assistance from PMSC Social safeguards consultant will:
 - (i) update resettlement plans⁷ in accordance with VCICDP Resettlement Framework, ADB's Safeguards Policy Statement(SPS, 2009) based on final detailed designs and submit to ADB for review, final approval, and disclosure prior to award of contract;
 - (ii) Review and submit the final Resettlement Plans from PIUs to ADB for review and disclosure;

⁶ G.O.Ms.No.73, LET&F (Lab.II) Dept., dt:18-07-2012 and rates updated for 2017-18 by GoAP by adopting Agri- CPI points notified as on 01.04.2018 being 986 points

⁷Resettlement Plan updation can be in a form of component/sectional wise updated Resettlement Plan especially for linear works upon the completion of final engineering design. This is especially recommended for easy implementation and monitoring for policy compliance.

- (iii) ensure payment of compensation and R&R assistances prior to commencement of civil works;
- (iv) monitor resettlement plan implementation and rehabilitation of displaced persons prior to and during construction and evaluate the social and economic status of the significantly affected displaced persons post the displacement;
- (v) conduct internal monitoring and assist the external monitor in external monitoring of the resettlement process to ensure smooth implementation;
- (vi) monitor work of nongovernmental organization (NGO) or agency as required;
- (vii) review quarterly resettlement monitoring reports prepared by resettlement agency if applicable and take required corrective actions, if any and consolidate the reports of Category B or C subprojects
- (viii) prepare and submit semi-annual safeguard monitoring report to ADB based on the quarterly resettlement reports;
- (ix) address escalated grievances through the GRM in a timely manner, and taking quick corrective actions where necessary to facilitate the redressal of grievances;
- (x) engage in ongoing meaningful consultations with stakeholders and affected persons;
- (xi) responsible for the implementation of project GRM and maintain the records;
- (xii) for all Category A subprojects, recruit independent external monitoring agency with endorsement from ADB; and
- (xiii) ensure project compliance to resettlement framework of VCICDP and ADB Safeguards Policy Statement including safeguards requirement on indigenous people and Indigenous Peoples Development Framework.
- (xiv) Monitor the implementation of corrective action plans (CAP), if any, consistent with the safeguard frameworks;

B. Project Implementation Unit

65. APIIC will be the implementing agency responsible for implementing the subproject. A Project implementation unit (PIU) will be established in APIIC. The APIIC PIU will be staffed with a Safeguards Manager (Social and Gender) and assisted by the PMSC specialist will be responsible to

- (i) update resettlement plans⁸ in accordance with VCICDP Resettlement Framework, ADB's Safeguards Policy Statement (SPS, 2009) based on final detailed designs with supports from PMSC and/or turnkey contractors;
- (ii) Submitting the final Resettlement Plans to PMU to be submitted to ADB for review, final approval, and disclosure prior to award of contract
- (iii) the implementation of R&R activities in coordination with line departments and revenue officials:
- (iv) prepare and review of individual entitlement plans/micro plans or implementing Resettlement Plan and submit for approval;
- (v) identify suitable land for the resettlement/relocation area in coordination with District administration and initiate transfer/acquisition process, after receiving endorsement for the physically displaced persons;
- (vi) supervise the contractor in Resettlement Plan implementation, in particular, implementation of impact avoidance and mitigation measures;
- (vii) assisting in disbursement of compensation and resettlement assistances;
- (viii) holding periodical and meaningful consultations with the affected people on implementation of LA and R&R activities;

⁸ See footnote no 12.

- (ix) regularly monitor the social and economic status of the significantly affected displaced persons and include the monitoring result in the monthly report for the PMU;
- (x) preparing monthly Resettlement Plan implementation and physical and financial progress reports;
- (xi) lead the GRM implementation and record keeping at the project/district/PIU level;
- (xii) updating payment of compensation, disbursement of resettlement assistances, displaced persons socio-economic data in the database; and
- (xiii) verification of claims for inclusion as displaced persons and submit report to PMU for decision.
- (xiv) Prepare and implement any corrective action plan (CAP), when required, consistent with the frameworks requirements.

Table 7: Institutional Roles and Responsibilities for Safeguards Implementation

Activities	Agency Responsible
Sub-project Initiation Stage	
Obtaining NOCs for each site, as required	APIICPIU
Clearance and disclosure of updated safeguard documents on website, municipal notice boards and to affected people Disclosure of sub-project details	PMU/PMSC APIICPIU
Meetings with APs and communities	APIICPIU/PMSC
Formation of Valuation Committee	PMU
Resettlement Plan Preparation/Updation Stage	APIIC PIU/PMSC
Conducting Detailed Measurement Survey and Census of all APs	APIICPIU/PMSC
Computation of entitlements	APIICPIU/PMSC
Conducting FGDs/ meetings / workshops during SIA surveys, recording of preferences and priorities of APs (e.g. related to relocation, training etc.)	APIICPIU/PMSC
Computation of Replacement Values of lost land/properties/lost income	VC/PIU/PMSC
Categorization of APs for finalizing entitlements	PIU/PMSC
Formulating compensation and rehabilitation measures	APIICPIU/PMSC
Disclosure of final entitlements and rehabilitation packages to APs	PIU/PMSC
Approval of Resettlement Plan	PMU/ADB
Implementation Stage	
Payment of compensation	APIICPIU
Taking possession of land	APIIC PIU
Implementation of mitigation and rehabilitation measures	APIICPIU/PMSC/Contractor,
Consultations with APs during rehabilitation activities	PMSC/APIICPIU
Grievance redressal	APIICPIU/PMU/GRCs
Internal monitoring	PMU/PMSC/PIU

C. Capacity Building

66. The key focus of capacity building will be on the Safeguard Manager (Social and Gender) at APIIC PIU, other staff of APIIC PIU, PMSC field supervision staff, and contractor's personnel, who will be trained by PMSC Social Safeguard Specialist to deal with IR policy, issues, meaningful consultation, communication, disclosure of project information, Resettlement Plan implementation,

payment of compensation, monitoring and reporting, grievance redress in a sensitive and responsive manner.

- 67. ADB and PMSC safeguard personnel will provide an orientation and training to all projectrelated PMU/PIU/PMSC/contractor's staff on ADB safeguards policy and the approved project Resettlement Framework; Resettlement Plan preparation and updation process; monitoring, reporting and disclosure requirements, roles of different stakeholders in safeguards implementation and GRM, envisaged consultation and participation process, reporting and monitoring requirements, core labour standards for contractors, handling issues in social inclusion, potential conflict resolution, typical implementation issues, and lessons learnt in safeguards implementation in other ADB funded water supply and sanitation projects. The key focus area of the training program will be ADB resettlement policy and principles and the training will focus on the differences between the provisions of the ADB policy and Gol/GoAP laws, as the awareness of these differences and the need to follow the provisions of ADB policy are critical for successful implementation of Resettlement Plans. The PMSC will be responsible for development of a training program based on a capacity assessment of target participants (contractor(s), PIU) and for implementation of the training program to build capabilities on resettlement policy, planning, mitigation measures and safeguards. Basic principles of resettlement planning, avoidance of IR impacts and minimisation measures with an emphasis on protection of the poor and vulnerable, access to project information and benefits by APs, grievance redress process and its importance, and monitoring shall be covered in the training. Training on IR and gender issues shall not only be given to social safeguards personnel of project consultants. PMU and PIUs but also to design and supervision engineers and contractors 'personnel.
- 68. Typical modules will be (i) sensitization to social safeguards, gender and vulnerability issues, (ii) resettlement planning and typical issues in implementation, (iii) introduction to social safeguards policy, including ADB policy, GRM, entitlements, compensation and social safeguards monitoring requirements and mitigation measures; (iv) monitoring and reporting on Resettlement Plan implementation, including monitoring methods and tools (v) core labour standards, including equal pay for equal work etc.
- 69. The APIIC PIU SM and PMSC field staff will be provided exposure visit to a similar ADB project in India, to facilitate learning. The suggested outline of the training program is presented in Table 10. 'Costs related to capacity building are included in PMSC, PMU and PIU costs.

Table 8: Indicative Capacity Building and Training Program

Description	Contents	Schedule	Participants	Tentative Schedule
Program 1 Orientation Workshop	Module 1 – Orientation ADB Safeguard Policy Statement Government of India policy Government of Andhra Pradesh policy Module 2 – Social/Environmental Assessment and Resettlement Planning/IEE Process ADB policy and process, identification of impacts and mitigation measures,	1 day	PMU/PIU/PMSC	Prior to start of implementation

Description	Contents	Schedule	Participants	Tentative Schedule
	Resettlement Plan /IEE preparation, implementation, and monitoring requirements. Incorporation of safeguards and gender into project design and contracts, with particular reference to water supply projects			
Program 2 Workshop for Contractors and Supervisory staff	IR/environmental issues during construction Implementation of Resettlement Plan /IEE Monitoring of Resettlement Plan /IEE implementation Reporting Requirements	1 day	PMU, PIU, PMSC & Contractors	Prior to start of implementation
Program 3 Experiences and Best Practices Sharing	Experience sharing on Resettlement Plan /IEE and Implementation – Issues and Challenges - Best Practices followed	Half day, on a regular basis	PMU PIU PMSC Contractors	To be held semi- annually throughout the project cycle.
	Exposure visit to best practice cases of ADB funded water supply projects in India	1 trip	PMU, PIU, PMSC safeguards personnel	One-time

XI. IMPLEMENTATION SCHEDULE

70. All the compensation and assistance as per EM will be completed prior to the start of the civil work at each specific site / stretch. Written confirmation is required to be sent by the PMU to ADB stating that all compensation has been paid to APs. Construction work can begin only in sites / sections where assistance has been paid. The Resettlement Plan implementation schedule is presented in Table 11.

XII. MONITORING AND REPORTING

71. Resettlement Plan implementation will be closely monitored to provide effective basis for assessing resettlement progress and identifying potential difficulties and problems. Monitoring will be undertaken by the PMU SSGO assisted by PMSC. Monitoring will involve administrative monitoring to ensure that implementation is on schedule and problems are dealt with on a timely basis; socio-economic monitoring during and after any resettlement impact utilizing baseline information established through the detailed measurement/census survey of APs proposed during Resettlement Plan updation, and overall monitoring. If negative impacts are identified and the socio economic condition of the significantly affected displaced persons becomes worse off due to the project activity, a corrective action plan (CAP) will have to be prepared and implemented by the PIU. The CAP preparation and implementation should be included and reported in the monthly progress reports. Monthly progress reports reporting status of Resettlement Plan implementation will be prepared by PIU assisted by PMSC, and consolidated by the PMU with the assistance of PMSC social safeguards specialist. The PMU/EA will submit semi-annual monitoring reports to

ADB for review and post all safeguard monitoring reports on ADB and MoUD website. A sample monitoring template is given in Appendix 7.

Table 9: Implementation Schedule

	2016		2017			2018	2019			
	Q2	Q3	Q4	Q1	Q2	Q3	Q4			
Set up APIIC PIU										
Appoint /designate JE level Safeguard Manager (Social and Gender) at APIIC PIU										
Setting up of PIU level GRC										
Conduct meaningful consultations with APs and jointly agree and sign off on proposed mitigation measures										
Conduct/complete detailed measurement surveys, census and socio-economic surveys and issuance of ID cards										
Identify Vulnerable APs, if any*										
Append Due Diligence Report to Resettlement Plan, with photographs, NOCs, land records for all sites, detailed minutes of consultation meetings.										
Update draft Resettlement Plan to reflect surveys, consultations, design changes, and due diligence results										
Consultations and disclosure, awareness generation										
Review and approval (ADB)										
Training/capacity building of PIU safeguards manager and engineers, PMSC supervision staff and contractor's staff										
Issue notice to affected persons										
Payment of assistance										
Handover of land to contractors										
Start of civil works										
Internal monitoring, including surveys of APs on entitlements, satisfaction surveys										

Appendix-1

Water Allocation Government Order

- 25/11/2012 10:	58 +91-40-232333	251 AP	IIC ED(D)PESHI		PAGE Ø1	
		GOVERNMENT		PADESH _	-550	_
1		AB	STRACT	- /.	OFFICE OF T	
П	Wis - Allocation	of water to the	proposed SRI	CITY SEX S	Satvaved	LCER
1 /0	Varadavabalem in	Chittoor District a	nd other indus	triai Parks at N	aidupet, and	NELLORE
XXXX.	Tada in Nellora Dia	strict - Orders - Iss	sued -		314 Na	70,1
10 Styles	IRRIG	ATION & CAD (PV	V: REFORMS)	DEPARTMEN	11/2/	3
4-10	3.C.Ms.No.184			Dated:0	4-08-2007	23
(D) . /	,				following:-	35
5 Cp			M. S. Silver	-t ADUO		· 46
· D/	1) From the V	ice Chairman and i E- i /APIIC M/S/77	Managing Direc	tor, APIC tod 1:1 12 2006	1	
1870		ica Chairman & Ma				
1.45	D.O.Letter.	No.CE-I/APIIC/ ND	P/1506/2006, d	dated 26.2.2007		
	3) From the O	hief Engineer, Telt	gu Ganga Proj	ect Srikalahasti	N dated	
	25.6.2007	ETTGS ISKHTI DI	WIDDZIDEE2	11.2979 Voi.23	512, Gatec	23
		he discussions of t	he Review mes	ting conducted	in the	
7 .	chambers of	of Hon'ble Chief Mi	nister held on 3	30.6.2007 comm	nunicated if:	
		and Commerce (IN	F) Department	etter.No.10371	dated	F .
2	18.7,2007	1	<<<>>>			
6				* .	1	1
	ORDER:-				1000	
	The	sment of water In	adiapted by the	e Andara Brad	ash Industrial	
	Infrastructure Cor	poration for the in as detailed below	ndustriai projec	ts taken up in	Chittoor and	A.
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7000		& Mega Industrial I		aveedu	1.0 TMC	ķ
9069		Park / Textile unit		Darke:	0.5 TMC . 0.25 TMC	4.5
7.0		Vellora District)	wiel maasiia:	AINS	5.25 100	
11189			Total:		1.75 TMC	
1110			. 1			
1	2. The above	a subject was rev	dewad on 30 f	3 2007 by the	Honible Chief	42
	Minister with the	officials of Irrigation	on and Industrie	es Departments	. As per the	
	record of discuss	ions communicate	ed in the refere	ence 4th read at	ove, Irrigation	
		ated that 1.00 TM				
· · · · · · · · · · · · · · · · · · ·	Arishnapatnam	sevings to be ac	the industria	ppry or desails	the balance	3.
	requirement sho	uld be met from	alternative so	urce like dese	linated water.	
		gation Departmen				
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	0'-/	/	Net	Dyel (us)		The same
-	1. 1	2 2				

Accordingly it is hereby ordered to allocate 1 TMC of water proportionate basis of acreage under industrial use. The Engineer-in-C Telugu Ganga Project is directed to explore other possibilities of water savir-meet the balance requirements for industrial purpose.

(BY ORDER AND IN THE NAME OF GOVERNOR OF ANDHRA PRADES!

RAJIV RANJAN MISHRA SECRETARY TO GOVERNMENT

The Engineer-In-Chief Telugu Ganga Project, Srikalanasthi, Chittoor District The Chairman and Managing Director, APIIC, Parishram Bhavan, Hyderabad

Copy to:-The Chairman & Managing Director APTRANSCo, Vidyutsoudha, Khairataba Hyderabad

P.S. to Special Secretary to CM [Ps to M (n-H))

P.S. to Secretary (RRM)

Stock file .-

!/Forwarded by Order!/

GOVERNMENT OF ANDHRA PRADESH • WATER RESOURCES (REFORMS) DEPARTMENT

Memo.No.94385/Reforms/A2/2015--1.

Dated: 28-11-2015.

Sub:Water Resources Deptt. - Vizag - Chennai Industrial Corridor Development Programme(VCICDP) - Development of Industrial Hub at Menakuru near Naidupet, Nellore District - Permission to tap the allocated water through Telugu Ganga near Utlampalli to APIIC - Accorded - Reg.

Ref:1)G.O.Ms.No.184, I&CAD(PW:Reforms) Department, dated:04.08.2007.

 From the Director of Industries, A.P., Hyderabad, Lr.No.15/ 1/2014/11427, dated 27.10.2015.

>><<.

In the reference $1^{\rm st}$ cited, Government have issued orders to allocate 1.00 TMC of water on proportionate basis of acreage under industrial projects taken up in Chittoor and Nellore Districts as indicated by the APIIC.

- 2. In the reference 2nd cited, the Director of Industries has requested the Government to accord permission to APIIC to tap the allocated water of 0.27 TMC from Telugu Ganga canal near Utlampalli Village of Nellore District, since the existing IP/MSEZ., Menakur is one of the identified Infrastructure Projects under Vizag Chennai Industrial Corridor Development Programme (VCICDP).
- 3. Government after careful examination of the proposal hereby accord permission to Chief Engineer, NTR TGP., Tirupathi to release the allocated water of 0.27 TMC from Telugu Ganga canal near Utlampalli Village of Nellore District for use of the existing IP/MSEZ., Menakur which is one of the identified Infrastructure Projects under Vizag Chennai Industrial Corridor Development Programme (VCICDP), as recommended by APIIC.
- 4. The Chief Engineer, NTR TGP., Tirupathi is requested to take necessary action subject to availability of 10% reserved water for industrial purpose.

ADITYA NATH DAS, PRINCIPAL SECRETARY TO GOVERNMENT.

To

The Chief Engineer, NTR TGP., Tirupathi, Chittoor District.
The Vice Chairman & Managing Director, APIIC Limited, Hyderabad.
Copy to:

The Engineer-in-Chief(Irrigation), Water Resources Department, Jalasoudha Building, Errum Manzil, A.P., Hyderabad.

The Industries & Commerce (Infra)Department.

The Director of Industries, Chirag Ali Lane, Abids, A.P., Hyderabad. SF/SC.

//FORWARDED :: BY ORDER//

SECTION OFFICER

Appendix-2

Land Records of Summer Storage Tank (Adangal Copy to establish APPIC Ownership)

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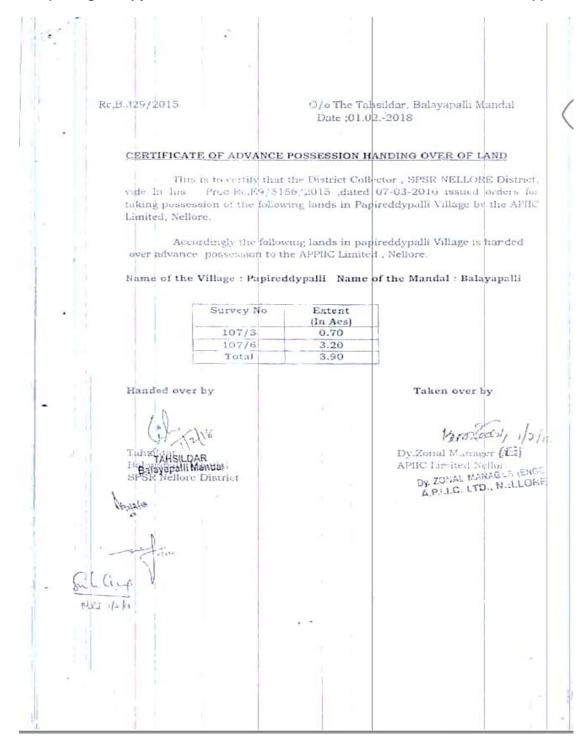
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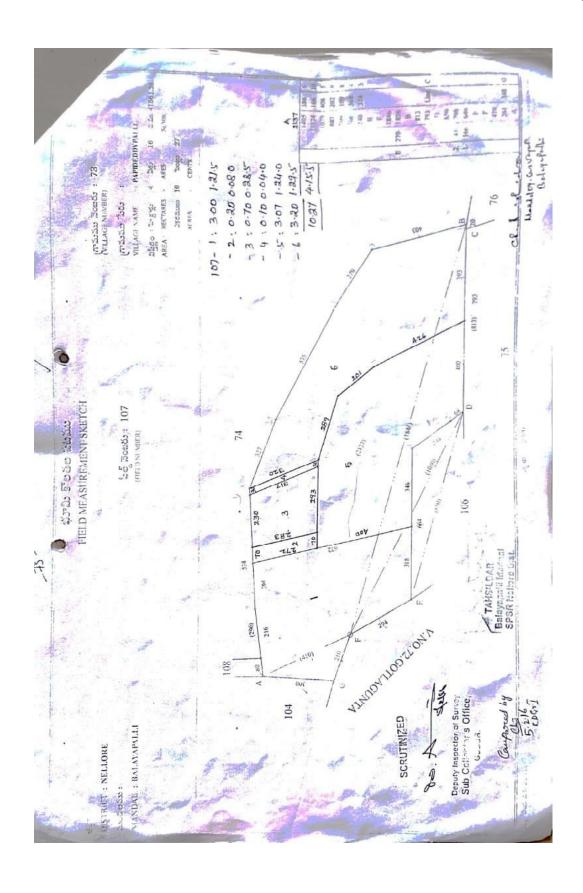
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ప్రభుత్వము0.00 అనాదీనం	త్రభుత్వము0,00 అనాదీనం	වුණණුද්යා 0.00 පැක්කර	ప్రభుత్వము0.00 అనాదీనం	ವಿಶುಶ್ವಮು0.00 ಅನ್ ದೆಸಂ	D) 00 e	ప్రభుత్వము0.00 అనాదీనం	ω0.00 es	00.00 w	ω 00.00 e	9 00.00 a	0.00 E	0.00 avg	0.00 ave	0.00 කුණ	970 00'6
5000	5000000	ವಿಶುತ್ತವ	నిమర్వవ -	ప్రభుత్వవ	ప్రభుత్వము 0.00 ఆనాదీనం	స్తమత్వవ	වුණණු නා 0.00 පෞතිය	වුණණුනා 0.00 පෙක්රීම	වුණජදුයා 0.00 සතකින	ప్రభుత్వము 0.00	ga ga	ĝe Ĉ	þ.	Ša G	- 13c
4.8200	4.9100	5.0000	00000	5.0000		5.0000	5,0000	1000	00000'9	1.5000	550			0.00	1000
0.0000 4.8200	0.0000 4.9100	0.0000 5.0000	0.0000 5.0000	0.0000 5.0000	0.0000 5.0000	0.0000 5.0000	0.0000	0.0000 3.5000	0.0000 5.0000	0.000	0.0000 1.7400	0.000.0	0.0000 2.2800	0.0000.0	0.0006 2.6900
4.8200	4.9100	5.0000	5,0000	5.0000	5.0000	5.0000	5.0000	3.5000	5.0000	1,5000	1.7400	1.6800		5.2000	
3400 353-2 4 8200	3401 353-3	3402 354-1	3403 354-2	3404 355-1	3405 355-2	3406 356-1	3407 356-2	3408 357-1	3409 357-2	3410 357-3	3411 358-1	3412 358-2 1,6800 0,0000 1,6800	3413 358-3 2 2800	3414 359-145 2000 0.0000 5.2000	3415359-182.6900
3400	340	3402	3403	3404	3405	3406	3407	3408	3409	3410	3411	3412	3413	3414	24 60

3483 389-8 (13000 0.0000 1.3000 gasa-gaal 0.00 froating unknown 0.0000 0.0000 fall (\$1,0000 0.0000 fall (\$2,0000 0.0000 0.0000 fall (\$2,0000 0.0000 fall (\$2																		
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3482 889-6 1,3200 0,0000 1,3000 3,000-6,000 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	300001 APIIC	300001 APIIC	300001 APIIC (\$)	APIIC (\$)	APIIC 00001 (\$)	00001 APIIC	00001 (3)	00001 APIIC	APIIC (2)	30001 (\$)	00001 APIIC	APIIC (\$)	APIIC	APIIC (%)	APIIC (\$)	APIIC 0001	0001 APIIC	2001 (2)
3482 389-6 1,3200 0,0000 1,3000 abavesam0.000 brooks binknown 3485 390-7 1,3000 0,0000 1,3000 abavesam0.000 brooks binknown 3485 390-1 1,0100 0,0000 1,3000 abavesam0.000 brooks binknown 3486 390-2 0,4900 0,0000 1,5000 abavesam0.000 brooks binknown 3488 390-4 1,5000 0,0000 1,5000 abavesam0.000 brooks binknown 3488 390-6 1,5000 0,0000 1,5000 abavesam0.000 brooks binknown 3489 390-5 1,5000 0,0000 1,5000 abavesam0.000 brooks binknown 3490 390-6 1,5000 0,0000 2,5000 abavesam0.000 brooks binknown 3490 390-7 2,5400 0,0000 2,5400 abavesam0.000 brooks binknown 3491 390-7 2,5400 0,0000 2,5400 abavesam0.000 brooks binknown 3491 390-7 2,5400 0,0000 2,4400 abavesam0.000 brooks binknown 3491 390-7 2,4400 0,0000 2,4400 abavesam0.000 brooks binknown 0,5400 390-3 2,4400 0,0000 2,1400 abavesam0.000 brooks binknown 0,5400 390-3 2,1400 0,0000 2,1400 abavesam0.000 brooks binknown 0,5400 300-00 0,0000 0,000 0	0,000	0.0000	0.0000	0.0000.3	00000	0.0000.3	0.0000.3	00000	0000 30	00000	0000 30	0000 30	0000 30	0000 30	0000 30	0000 30	0000 300	300
3483 388-7 1,3000 0,0000 1,3000 3484 388-8 1,3000 0,0000 1,3000 3486 389-8 1,3000 0,0000 1,0000 3488 3390-2 0,4900 0,0000 0,4900 3488 3390-2 0,4900 0,0000 1,5000 3489 3390-5 1,5000 0,0000 1,5000 3499 3390-5 1,5000 0,0000 0,5400 3499 3391-1 2,3700 0,0000 2,5400 3499 3391-1 2,3700 0,0000 2,4400 3499 3392-2 4,8400 0,00000 2,4400 3499 3393-3 2,4400 0,0000 2,4400 3499 3393-3 2,4400 0,0000 2,4400 3499 3393-3 2,4400 0,0000 2,4400 3499 3393-3 2,4400 0,0000 2,4400 3499 3393-3 2,4400 0,0000 2,4400 3	ವೆತು unknown	5°so unknown (S'so unknown (S'ss unknown (5°€5 unknown (ുട unknown 0	്ട unknown 0	s unknown 0	a unknown 0	so unknown 0	s unknown 0	& unknown 0.	so unknown 0.	so unknown 0.	so unknown 0.0	s unknown 0.0	So unknown 0.0	o unknown 0.0
3483 388-7 1,3000 0,0000 1,3000 3484 388-8 1,3000 0,0000 1,3000 3486 389-8 1,3000 0,0000 1,0000 3488 3390-2 0,4900 0,0000 0,4900 3488 3390-2 0,4900 0,0000 1,5000 3489 3390-5 1,5000 0,0000 1,5000 3499 3390-5 1,5000 0,0000 0,5400 3499 3391-1 2,3700 0,0000 2,5400 3499 3391-1 2,3700 0,0000 2,4400 3499 3392-2 4,8400 0,00000 2,4400 3499 3393-3 2,4400 0,0000 2,4400 3499 3393-3 2,4400 0,0000 2,4400 3499 3393-3 2,4400 0,0000 2,4400 3499 3393-3 2,4400 0,0000 2,4400 3499 3393-3 2,4400 0,0000 2,4400 3	చుత్వము.0.00 వోఠం	మత్వము0.00 పోరం	కుత్వము0.00 వోరం	బత్వము 0.00 పోరం	పుత్వముది.00 వోదం	మత్వము.0.00 పోరంక	మత్వము 0.00 పో ఠంక	ుత్వము.0.00 హ ో రంజి	సత్వము⊚.00 <mark>పో</mark> రంటి	రత్వము0.00 పోఠంట	ుత్వము0.00 పోరంటి	ంత్వములి.00 చోరంబ్	ంత్వములి 00 అనాదీన	ంత్వము0.00 పోరంటో	త్వము.0.00 హౌరంబో	త్వేము 0.00 పోఠంటో	త్వము0.00 పోరంటో	ಕ್ರಮು 00 ಅನ್ನಾಪಿನ
3482 389-6 3483 389-7 3486 390-2 3487 390-3 3487 390-3 3487 390-7 3493 391-3 3495 392-2 3498 393-3 3498 393-3 3498 393-3	0000 1.3200 😩										U1520							00 10 0600 pm
3482 389-6 3483 389-7 3486 390-2 3487 390-3 3487 390-3 3487 390-7 3493 391-3 3495 392-2 3498 393-3 3498 393-3 3498 393-3	3200 0	3000 01	3000 0.0	0100 0.0	4900 0.0	2000 0.0	2000 0.0	2000 0.0	2000 0.0	5400 0.0	3700 0.01	0200 0.00	3400 0.00	1000 0.00	9400 0.00	400 0.00	400 0.00	060000.00
			Total I	0.00				983333	10.00				3494 391-3	10.0			- 14	-

200 38	14-1 4.3	0.0 009	3500 394-1 4.3500 0.0000 4.3500	00 gande	ಮು೦.00 ಅನ್	550 unknown	0.0000	್ರಾಮುತ್ತಿಯು0.00 ಅನ್ನಾವಿನಂ unknown 0.0000 300001	APIIC (§)	4.3500	තරමුකාහා
39 44 3501 394-2	4.2 2.6	200 000	2.6500 0.0000 2.6500		200 00 27 do	ಬೆ್ಟು unknown	0.0000	ലമാത്യമാ 0.00 ന്രേമ്ടാ unknown 0.0000 300001	APIIC (3)	2.6500	කරමුකාගෙ
9.83 AC 3502 394.3	4-3 2.7100	0.0	0.0000 2.7100		200 00 2°60	చోకు unknown	0.0000	ವ್ರಪಂಶ್ಯಮು0.00 ಬ್ರೌಕೆಂಖ್ ಕು unknown 0.0000 300001 (ಕ್ರಿ)	APIIC (8)	2.7100	ಬರಿಕ್ಷಿಮಲು
3503 395-1	5-1 2.00	00 00	2.0000 0.0000 2.0000		320 0.00 D*500	ವ್ರಮಕ್ಕು ಮು0.00 ಬಿರಂಬಿ' so unknown 0.0000	0.0000	300001 APIIC	APIIC (3)	2.0000	තරමුදාහ
3504 395-2	5-2 2.19	00 000	2.1900 0.0000 2.1900		320 0.00 3°60e	ವ್ರಪಂತ್ವಮು0.00 ವಿ'ರಂಪ್' so unknown 0.0000	0.0000	300001 APIIC	APIIC	2.1900	ಐರಿತ್ತಿಮಲು
505 39.	5-4 1.04	00 0.00	3505 395-4 1.0400 0.0000 1.0400		333 0.00 D'60e	ವಿಪುತ್ತುಮು0 00 ವಿಕಂಬಿಕು unknown 0.0000 300001	0.0000	300001 APIIC	APIIC	1.0400	තරමුනුග
3506 395		00 000	5 1.0000 0.0000 1.0000		300 00 D°60e	వోకు unknown	0.0000	ವ್ರಚುಕ್ತಮು0.00 (ನೌರಂಬಿ'ತು unknown 0.0000 300001 (ತಿ)	APIIC (\$)	1.0000	වර් මුකාභා
3507 395-8	5-8 2.0000	00 000	0.0000 2.0000		D. 00 D'60e	ವ್ರಮಕ್ಕು ಮು.0.00 ಭೌರಂಪೌತು unknown 0.0000	0.0000	300001 APIIC	APIIC (3)	2.0000	පරමුතාභා
3508 396-1		00 0.00	2.0000 0.0000 2.0000		au 0.00 D'60e	ട്ട് unknown	0.0000	ವ್ರಹುತ್ತುಮು0.00 ವಿ'ಕೂಪ್'ತು unknown 0.0000 300001 (ತಿ)	APIIC	2.0000	වරිළිකාභා
3509 396-2		00 000	1.9800 0.0000 1.9800		500 00 00 00 00 00 00 00 00 00 00 00 00	5°ss unknown	0.0000	ವಿಮತ್ತುಮು0.00 (ವಿಕಂಪ್ ಕು unknown 0.0000 300001 (ಕ್ರಿ)	APIIC (\$)	1.9800	ಬರಿಕ್ಷಮಲು
3510 396-3		00.00	1.2900 0.0000 1.2900		500 00 00 00 00 00 00 00 00 00 00 00 00	ವಿಭುರ _{್ಮ} ಮು0.00 ದೌರಂಬೆಕು unknown 0.0000	0.0000	300001 (\$)	APIIC (3)	1.2900	තරිමුනාභා
3511 396-4	1	00 00	2.0000 0.0000 2.0000		ము.0.00 పో రంటి	ವಿಕುಕ್ಯಮು0.00 ವಿಕಂಪೆತು unknown 0.0000	0.0000	300001 (\$)	APIIC	2.0000	තරමුරාග
3512 396-5		00.00	1.0400 0.0000 1.0400		ము 0.00 పోరంటి	ವ್ರಘುತ್ತಮು0.00 ವಿ'ಕಂಬಿ'ತು unknown 0.0000 300001 APIIC	0.0000	300001 APIIC	APIIC	1.0400	කරීම්කාභා
3513 396-6	100	00.00	2,0000 0,0000 2,0000	27.57	120 00 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	ವ್ರಮತ್ತುಮು0.00 ವೌರಂಪ್ ಕು unknown 0.0000	0.000.0	300001 (\$)	APIIC (3)	2.0000	හරිඹුරාභා
3514 396-7	-7 1.0000	0.00	0.0000 1.0000	1	0.00 J'608	ವ್ರಪಂಕ್ಯಮು0.00 ಬೌಕಂಬಿ'ತು unknown 0.0000	0.000.0	300001 (%)	APIIC	1.0000	නර් මුන්භා
3515 397-1	100	00.00	1.2900 0.0000 1.2900		w 0.00 Too	ವ್ರಮತ್ನಮು0.00 ಬೌಕಂಪ್ ಕು unknown 0.0000	0.0000	APIIC (\$)	APIIC	1.2900	තරමුනක
3516 397-2		0 0:00	2.0000 0.0000 2.0000	50	0.00 औరంబ్	0.00 ವೌಕಂಬಿತು unknown 0.0000	0.000	300001 APIIC	APIIC (\$)	2.0000	නර්මුනා භා
3517 397-3	-3 1 0600	0 0.000	0 0000 1 0600		w0 00 27 cost	ವಿಶುಕ್ರಯು 00 ವಿರಂಖ ಕು unknown 0 0000 300001	00000	O00001	APIIC	1 0600	තරම්නභා

Land Records of Intake Well (Adangal Copy and Alienation Certioficate to establish APPIC Ownership)





-	,		Stateme	ent of Sub Divisions in te	ne Village	of	Pa-pi	Reddy THE PRO	APPEN or No. 34	(a) Para	graph	3 (a) Mandal, Sri	Potti Sr	iramulu Nellor	e Distric		
d or		and Asses			PAR	TICUL	ARS OF	THE PRO	POSED S	UBDIVIS	ION				narks		A.
Name of the present Survey field or subdivision	Dry, Wet or Poramboke	Survey area	Assessment	Name of the present registered holde	Dry,Wet or poramboke	Subdivision number or letter	The state of the s	square paper	adjuseted area with reference to		Assessment	Name of the proposed registered holder	Signature of the parties	Are there well defined boundaries on the ground and whether the subdivisions have been duly demarcated where necessary	Reasons for omission and any on the part of parties to sign in colourn 12	Reasons for subdivision	Number of subdivisons in field fit for clubbing an whether thi holder thereof any objection their being clubbed
1	2	3	4	5	6	7	Ac.,	8 Hec.,	Ac.,	9 Hec.,	10	• 11	12	13	14	15	16
107	Drog	10.27			Dan	t	3.00	1.215	3.00	1.21.5		TG 37 N5					3
		4.15.5			-	2	0.20	0.080	0.20	0.080		1G ত্রাএ১			3		1 3
		1 18															
so	RUTH	IIZED				3	0.70	0.28.5	070	0.28.5	1	proposed land for Co	netzi	ction of #	End LT	ske	
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Canada	Inenc	ctor at Su	TVCV	Z-		4	0.10	0.040	0.10	0.04.0	_	ದಾರಿ			-	-	-
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http://meebhoomi.ap.gov.in/Village_adangal.aspx?v=Ffulnsx0JuarM7N2crtist.com Meebhoomi::Adangal ಲ್ಲಿಂಕೆಂದ Dyoffod 104-3 1 0000 0.0000 1 0000 2005 200 0 00 200 1.0000 36 హిలయ్మ 0.6400 దరఖాస్తు T'evang. (ಎಂತಬಯ್ಯ) (Dostialy) ಲಿಲಂಕೆಂದ Deolog 950 ವಿಸ್ತುರಮಣಮ್ಮ 0 3600 თიიძვი ವಿನ್ನಾರಮಣಮ್ಮ (رشمعضر) (ಗುರವಯ್ಯ) ವಿಲಂಕೆಂದ DUOSOG. 951 104-4 2 00000 00000 2 0000 කුක්ල කාල කාල 2.0000 301019 చిన్నయ్య 2 00000 దరఖాస్తు ವಿಕ್ಕುಯ್ಯ 5 1100 0 0000 5 1100 ఇదుత్వము 0 00 అనాదీనం -5.1100 600000 5 1100 Dineuara ఈ సర్వే సెంబర్ రహసీల్లారు గారిచే కొత్తమారు ఏరయ్మకొత్తమారు ఏరయ్య 2 0000 0 0000 2 0000 ഇത്രഹ്യോ 0 00 ചല്ല 2 0000 300041 2.0000 గిండిడ్డ తెలితవ ವೆಯಲದಲೆದು ವರುತ್ತಮು ಮ್-ಎಂದನ್ನು 3.0000 600000 3 0000 107-1 3 0000 0 0000 3 0000 pao amo 00 ತ್ಯಾವಿ 7 2200 6000000 మావురమ్మ 955 7 2200 7 2200 0 0000 ppo au 0 00 7.2200 కాలువ ప్రభుత్వము 0 7800 0 7800 0 0000 passes 200 00 0.7800 600000 7800 పోరంబోకు ఈ రవిన్యూ రిక్యాల ను మీ దూమి వెల్ సైటీ నుండి , 17/05/2018 17:11:01 న IP number 157 44 125 119 ద్వారా స్టేంటీ చేయబడినది. Color indicates dispute survey numbers Color indicates Digitally unsigned records Color indicates Notional Khata's NOTE: Digital Checking Fall Records not Comes Under Registration Purpose The information contained made available through this web site for information only and cannot be utilised as certified/authenticated copy for producing in any court or for enforcing any legal claims etc. under the existing relevant Acts/Rules framed by the Government of Andhra Pradesh in this context. Print Designed, Developed & Maintained by : National Informatics Centre, APSC, Hyderabad. Disclaimer: Content on this website is published and managed by Department of Revenue, GoAP, Hyderabad. 5/17/2018, 5:22 PM

Appendix-3 SUMMARY OF CONSULTATIONS, MEETINGS AND FOCUS GROUP DISCUSSIONS)

SI. No.	Date	Location	No. of Participants	Topic	Issues/ Concern and Mitigation Measures
1	08.12.2017 11am	Gollagunta	14 (affected persons and their representatives)	The participants were apprised about proposed subproject, the transmission main from Venkatagiri to Naidupeta that will cause temporary access issue to the people. Some shops that will face temporary income will be provided assistance.	Drinking Water Supply was a key issue and the participants were requested to make a written representation to the District Collector. Lack of employment opportunity was pointed out and it was explained that once more industries come they local community will have better employment opportunity.
2	08.12.2017 3pm	Melchuri	16 (affected persons and their family members)	The participants were apprised about proposed subproject, the transmission main from Venkatagiri to Naidupeta that will cause temporary access issue to the people. Some shops that will face temporary income will be provided assistance.	Drinking Water Supply was a key issue and the participants were requested to make a written representation to the District Collector. Lack of employment opportunity was pointed out and it was explained that once more industries come they local community will have better employment opportunity.

Note: Of the 30 persons (excluding APIIC Officials and DPR Consultants) consulted, 10 (33%)were women.





Gollugunta FGDs





Melchuru FGDs

Public Consultations / Focus Group Discussion Attendance Sheet Date: 1/12/117 Place: golla paltygenta: Naidupet, APIIC S.No Name Signature Mobile Number 4.02 1. Ravi (shop) 9177057322 2. Ranaya (shop) CH030560506 van katama 3. M. Sybbalaushnam SubhalakBhmina 9963631451 4 K882 SUL Girijarma 5, M. Musherma 6. 9502505265 CHARZOSBE Nagarjun Reddy 7. M. Raga 97047570P9 M. Refale 8 9550397064 B5050050 9618664687 Mosity 2 days B. Shankaraya Mastharayya. 10.

Visakhapatnam to Chennai Industrial Corridor Development Program (VCICDP)

Public Consultations / Focus Group Discussion

Atte	endance Sheet Cho	Hamanu
ate: 1/12/17 lace: 90/10 party gunta	Naidupet, APIIC	
.No Name	Mobile Number	Signature
11 Kinain	965234	S. Linay Kum,
12 Balouji	799588 3665	6- Bopso
13 Nagarajan	950286	you
14 Rayerh	8978233	M. Rehl
15 P. Lineth project engineer civil	9959438714	P danh
16 P. Kamalakar Manager Eng.	9912225810	Non
17 P. Gayathni (LATTEL)	7794913040	Registeri

10.

industrial Corridor Development Program (VCICDP) Public Consultations / Focus Group Discussion Attendance Sheet Date: 1/12/17 Place: Mekhuru Naidupet, APIIC S.No Name Mobile Number Signature 918797 1. Navshamma 9676819546 9959905682 1030503565 Ven kate swarth 2 9676976858 3. Rajalaboni Se & John Laktiman 4 ळं हूं क्यू padmononma 5. 6. Ruppinama 9959715798 7. Raja 7675966958 8. Srinvas 96 18641036 A Mahosh Reddy M. lakshmamma

Visakhapatnam to Chennai Industrial Corridor Development Program (VCICDP)

Public Consultations / Focus Group Discussion

Attendance Sheet

<u>Date</u> <u>Place</u>	: 1/12/207 = Melchur	Naidupet, APIIC	
S.No	Name	Mobile Number	Signature
11.	Balakrishnah		५०-०३व्यक्ष रे
	Y-Madhu	95150848	y. Hadley
13.	Sagicevarah	9171800	P Sanjewich
14.	Subramani		cr. Silvan angom
15.	Shouthija	78933121 53	K. Sandlya
16.	Dharmam mal	99897440	20
17.	P. Kamala Kar Manager Engs.	9912225810	Kor
18.	P. South - Prajeck Engineer.	9959438714	1 Shul
19.	P. Gyathri GG (LATTEL)	779913040	Tigatori'
	(A) (3)		

DRAFT PROJECT INFORMATION DISCLOSURE LEAFLET

Background

The proposed Visakhapatnam-Chennai Industrial Corridor Development Program (VCICDP) will complement ongoing efforts of the Government of Andhra Pradesh (GoAP) to enhance manufacturing sector growth and create high quality jobs in the state of Andhra Pradesh. The VCICDP comprises: (i) a policy-based loan from Asian Development Bank (ADB) to support policy reforms, and institutional development in the state's industrial sector; and (ii) a multitranche financing facility (MFF) of ADB for priority infrastructure projects within the Visakhapatnam-Chennai Industrial Corridor (VCIC), which will provide support for infrastructure development in tranches or phases. APIIC is planning to upgrade the infrastructure in the Naidupeta Cluster and one of the identified infrastructure is 'Bulk Water Supply from Telugu Ganga Canal Project to MPSEZ, IP Naidupeta and IP Attivaramand summer storage in Naidupeta Industrial cluster.

Subproject Description

The components of the bulk water supply system include: (i) intake of water at Utla Palli (From Telugu Ganga Canal);(ii) pumping main system;(iii) laying of transmission mains; and (iv) summer storage tank (SST). The bulk water for the Naidupeta cluster will be sourced from Telugu Ganga Canal near Utlapalli Village, which is around 19km west of Naidupeta Cluster. The allocated water for Naidupeta cluster is 0.5 TMC as per the G.O. 184 of AP dated 04.08.2007 (Appendix-1). The Irrigation and Command Area Development(I&CAD)Department will be providing the off take structure at an identified location with an agreement between I&CAD and APIIC. Further, the raw water from canal through off take structure shall be taken care by APIIC. It is proposed to lay a gravity pipe of 700mm Dia. DI Class K7 pipe, from off take structure to proposed new sump of 1000KL capacity (30min. retention time), from where the water is proposed to be pumped to proposed summer storage tank located within the Naidupeta Cluster in APIIC land. The raw water transmission main of 700m dia will be laid from intake location to the proposed SST at MPSEZ along the existing Venkatagiri-Naidupeta Road

Resettlement Plan: Policy and Principles

The subproject is classified as Category B in accordance with ADB's Safeguard Policy Statement (SPS). ADB's SPS covers both temporary and permanent impacts to both titled and non-titled persons, and includes both physical and economic displacement.

A resettlement plan is prepared for APICC Bulk Water Supply to Naidupeta Industrial Cluster subproject proposed under VCICDP tranche 1. The Resettlement Plan is based on ADB's Safeguard Policy Statement 2009, and applicable Government of India and Government of Andhra Pradesh laws. The subproject is proposed for funding by ADB using its multitranche financing facility (MFF). A copy of the Resettlement Plan(full text) is available at APIIC Zonal Office in Naidupeta (MPSEZ).

Involuntary Resettlement Impact

The total land requirement for new reservoirs has been estimated as 63.50 acres. This land requirement falls under intake (3.50 acres) and summer storage tank (60.00 acres) which are

owned by government (I&CAD and APIIC), hence no land acquisition is envisaged for intake or SST. The transmission main is proposed along the Venkatagiri - Naidupeta Road along the road margins.

Efforts have been made to avoid or minimize resettlement impact through careful design of the transmission main alignment by proposing the same along the road margin within the R&B right-of-way. The Resettlement Plan for the subproject identified temporary loss of income due to temporary disruption to access caused by excavating trenches for the transmission main. Eight shops and 2-kiosks will be facing temporary loss of income. The Resettlement Plan proposes compensation to affected persons based on the entitlement matrix prepared for the project. Mitigation measures are also included in the Resettlement Plan. Potential losses that can be avoided/mitigated through proper scheduling of work, avoidance of impact to businesses where possible, provision of planks for access to shops and businesses and traffic management plans to avoid disruption have been proposed.

Entitlements and Compensation

The Resettlement Plan proposes compensation to affected persons based on the entitlement matrix prepared for the project. Potential losses that can be avoided/mitigated through proper scheduling of work, avoidance of impact to businesses where possible, provision of planks for access to shops and businesses and traffic management plans to avoid disruption have been proposed. As per the entitlement matrix in the Resettlement Framework for VCICDP defines entitlements and compensation for persons facing temporary income loss. Affected persons including titled and non-titled will be eligible for compensation as defined in the Entitlement Matrix for the project. Adequate budgetary provision for the Resettlement Plan implementation has been made.

Institutional Arrangements

The State of Andhra Pradesh acting through its Department of Industries will be the executing agency (EA). The Directorate of Industries within the Department of Industries will be responsible for the daily coordination and execution of both the Program as well as the MFF. A program management unit (PMU), established in the DOI, will be responsible for planning, implementation, monitoring and supervision, and coordination of all activities under the Program and the MFF. Project implementation unit (PIU) will be established in Andhra Pradesh Industrial Investment Corporation (APIIC) and will be responsible for implementing the MFF. Project Management Supervision Consultants will facilitate the planning and implementation of the subproject, having social safeguards personnel for Resettlement Plan planning and implementation activities, internal monitoring and reporting, and including a critical role in capacity building of APIIC, the PIU for this subproject and contractors for Resettlement Plan planning, implementation and monitoring.

Grievance Redress Mechanism (GRM)

A multi-level grievance redress mechanism is established for the project. The first/field level grievances will be resolved by the contractors, Zonal Manager, and PIU safeguard officers immediately on-site in consultation with the complainant, within 7 days of receipt of a complaint/grievance. All grievances that cannot be redressed within 7 days at field level will be reviewed by the APIIC PIU level grievance redress committee (GRC), which will attempt to resolve them within 15 days. The PIU safeguard officers will refer any unresolved or

major issues to the PMU/State-level GRC, who in consultation with PIU will resolve them within 15 days. The grievance redress form is available at the contractor's site office, and at APIIC Zonal Manager's office.

Contact details

Organisation	Name	Position	Phone number	Email Id
Contractor				
APIIC				
Safeguard				
Manager				
(Social and				
Gender)				
PMSC				
Supervision				
Staff				
VCICDP PMU				
Safeguard				
Officer (SPO)				

Appendix-5

SAMPLE GRIEVANCE REDRESS FORM

The		F	Project welcome	s complain	ts. sua	aestions.
	nents regarding pro					
	name and contac					
•	eedback. Should			•		•
	nain confidential, p					
your name. Thank	· •		7 371 3			,
,	,					
Date		Place of regist	tration			
Contact Informa	tion/Personal Det	aile				
Name		alis	Gender	* Male	Age	
Name			Gender	* Female	Age	
Home Address				i ciliale		
Place						
Phone no.						
E-mail						
	estion/Comment/	Question Pleas	e provide the	details (who	n what	where
	grievance below:	Question Tieas	se provide the	details (Wild	, wiiai	., WHICH
and now) or your	gricvarioc below.					
If included as atta	achment/note/letter	nlease tick here	ā.			
	nt us to reach you			ır commen	t/ariev	ance?
non do you mai	ii do to rodon you	TOT TOOGBOOK O	. apaato on you		u g. 10 t	u11001
FOR OFFICIAL U	SE ONLY					
Registered by: (Name of Official re	gistering grievar	nce)			
(3 3 3	,			
Mode of commu	nication:					
Note/Letter						
E-mail						
Verbal/Telephoni	С					
Reviewed by: (N	lames/Positions of	Official(s) review	ving grievance)			
Action Taken:						
Whether Action	Taken Disclosed:		Yes			
			No			
Means of Disclo	sure:					

Appendix-6

COMPARISON BETWEEN LARR ACT 20139GoAP R&R POLICY AND ADB SPS 2009

SNo	Asian Development Bank's Involuntary Resettlement Policy Requirement	AP R&R Policy 2005	RFCTLARR Act 2013	Remarks and provisions in RFCTLARR Act 2013	Measures to bridge the Gap in the Resettlement Framework of VCICDP
Polic	y Objectives				
1	Avoid involuntary resettlement (IR) wherever feasible	✓	✓	Social Impact assessment (SIA) should include: (i) whether the extent of land proposed for acquisition is the absolute bare minimum extent needed for the project; (ii) whether land acquisition at an alternate place has been considered and found not feasible [Ref: Section 4 sub-section 4(d) and 4(e)]	
2	If IR is unavoidable, minimise involuntary resettlement by exploring viable alternate project design	√	х		In Para 36(ii), the principles of Resettlement Framework addresses this requirement.
3	DPs should be assisted in their efforts to enhance or at least restore the livelihoods of all displaced persons in real terms to pre-project levels	✓	✓	The cumulative outcome of compulsory acquisition should be that affected persons become partners in development leading to an improvement in their post acquisition social and economic status and for matters connected therewith or incidental thereto [Ref: Preamble of the RFCTLARR ACT]	-
Scop	e of Application				
4	Involuntary acquisition of land	√	✓	In the definition of affected family, it includes 'a family whose land or other immovable property has been acquired'	

⁹The Right to Fair Compensation and Transparency in Land Acquisition, Rehabilitation and Resettlement Act, 2013.

SNo	Asian Development Bank's Involuntary Resettlement Policy Requirement	AP R&R Policy 2005	RFCTLARR Act 2013	Remarks and provisions in RFCTLARR Act 2013	Measures to bridge the Gap in the Resettlement Framework of VCICDP
				[Ref: Section 3 sub-section c (i)]	
5	Involuntary restriction of land use or on access to legally designated parks and protected areas.	X	√	In the definition of affected family in includes 'family whose primary source of livelihood for three years prior to the acquisition of the land is dependent on forests or water bodies and includes gatherers of forest produce, hunters, fisher folk and boatmen and such livelihood is affected due to acquisition of land' [Ref: Section 3 sub-section c (vi)]	
Eligil	oility Criteria				
6	Those who have formal legal rights to land lost in its entirety or in part	~	√	In the definition of affected family, it includes 'a family whose land or other immovable property has been acquired' [Ref: Section 3 sub-section c (i)]	
7	Those who do not have formal legal rights to land lost but who have a claim to such land that are recognized or recognizable under national laws	✓	✓	In the definition of affected family, it includes 'the Scheduled Tribes and other traditional forest dwellers who have lost any of their forest rights recognised under the Scheduled Tribes and Other Traditional Forest Dwellers (Recognition of Forest Rights) Act, 2006 due to acquisition of land'; and also includes 'a member of the family who has been assigned land by the State Government or the Central Government under any of its schemes and such land is under acquisition'. [Ref: Section 3 sub-section c(iii) and (v)]	
8	Those who have neither formal legal rights nor recognised or	✓	х		In para 37(iii) of the Resettlement Framework, under eligibility

SNo	Asian Development Bank's Involuntary Resettlement Policy Requirement	AP R&R Policy 2005	RFCTLARR Act 2013	Remarks and provisions in RFCTLARR Act 2013	Measures to bridge the Gap in the Resettlement Framework of VCICDP
	recognizable claim to land lost				criteria, this is addressed.
9	Persons who encroach on the area after the cut-off date are not entitled to compensation or any other form of resettlement assistance.	✓	Х		In para 38 of the Resettlement Framework, the cut-off date has been defined.
Polic	y Principles				
10	Carry out meaningful consultations with affected persons, host communities and concerned nongovernment originations	✓	√	Whenever a SIA is required, the appropriate Government shall ensure that a public hearing is held at the affected area, after giving adequate publicity about the date, time and venue for the public hearing, to ascertain the views of the affected families to be recorded and included in the SIA Report. [Ref: Section 5]	
11	Establish a grievance redress mechanism to receive and facilitate resolution of the affected persons' concerns.	✓	✓	For the purpose of providing speedy disposal of disputes relating to land acquisition. compensation, rehabilitation and resettlement, establish, by notification. one or more Authorities to be known as "the Land Acquisition, Rehabilitation and Resettlement Authority" [Ref: Section 51 sub-section 1]	The Resettlement Framework provides for a District level GRC to resolve grievances in the First Level and the appellate authority at the Second Level of grievance resolution mechanism, prior to referring/approaching the LARR authority
12	Preference to land-based resettlement strategies for displaced persons whose livelihoods are land-based.	√	√	Land for land is recommended in irrigation projects and in projects where SC/ST is involved equivalent land. [Ref: Second Schedule S.No.2]	Land for land option, if feasible, is provided in the EM. If not feasible, then cash compensation at replacement cost has been provided
13	Provide physically and economically displaced persons with needed assistance, including the following: (i) if there is relocation, secured tenure to relocation land, better housing at resettlement sites with	~	✓	The Rehabilitation and Resettlement Award shall include all of the following: (c) particulars of house site and house to be allotted, in case of displaced families; (d) particulars of land allotted to the	

SNo	Asian Development Bank's Involuntary Resettlement Policy Requirement	AP R&R Policy 2005	RFCTLARR Act 2013	Remarks and provisions in RFCTLARR Act 2013	Measures to bridge the Gap in the Resettlement Framework of VCICDP
	comparable access to employment and production opportunities, integration of resettled persons economically and socially into their host communities, and extension of project benefits to host communities; (ii) transitional support and development assistance, such as land development, credit facilities, training, or employment opportunities; and (iii) civic infrastructure and community services, as required.			displaced families; (e) particulars of one time subsistence allowance and transportation allowance in case of displaced families;	
14	Improve the standards of living of the displaced poor and other vulnerable groups, including women, to at least national minimum standards	✓	✓ (partly)	The act provides for special provisions and assistance for scheduled caste and scheduled tribe in scheduled area. [Ref: Section 41] Further the act recognizes widows, divorcees and women deserted by families as separate families [Ref: Section sub-section (m)] The act does not recognize other vulnerable category and also SC/ST from non-scheduled areas.	Special provision for vulnerable have been provided in Entitlement matrix.
15	Develop procedures in a transparent, consistent, and equitable manner if actuation is through negotiated settlement.	х	x	Not explicitly stated	Provided for in para 44 in the Resettlement Framework
16	Prepare a resettlement plan elaborating on displaced persons entitlements, the income and livelihood restoration strategy, institutional arrangements,	✓	✓	The Act provides for the preparation of Rehabilitation and Resettlement Scheme including time line for implementation [Ref: Section 16 - sub-section 2]	

SNo	Asian Development Bank's Involuntary Resettlement Policy Requirement	AP R&R Policy 2005	RFCTLARR Act 2013	Remarks and provisions in RFCTLARR Act 2013	Measures to bridge the Gap in the Resettlement Framework of VCICDP
	monitoring and reporting framework, budget and time-bound implementation schedule				
17	Disclose a draft resettlement plan, including documentation of the consultation process in a timely manner, before project appraisal, in an accessible place and a form and language(s) understandable to affected persons and other stakeholders. Disclose the final resettlement plan and its updates to affected persons and other stakeholders	√	•	The appropriate Government shall ensure that the Social Impact Assessment study report and the Social Impact Management Plan, are prepared and made available in the local language to the Panchayat, Municipality or Municipal Corporation, as the case may be, and the offices of the District Collector, the Sub-Divisional Magistrate and the Tehsil, and shall be published in the affected areas, in such manner as may be prescribed, and uploaded on the website of the appropriate Government. [Ref: Section 6 sub-section 1] Further the commissioner shall cause the approved Rehabilitation and Resettlement Scheme to be made available in the local language to the Panchayat, Municipality or Municipal Corporation. As the case may be, and the offices of the district collector, the Sub-Divisional Magistrate and Tehsil, and shall be published in affected areas, in such manner as may be prescribed and uploaded on the website of the appropriate Government	
18	Pay commission and provide other resettlement entitle before physical or economic displacement. Implant	√	✓	[Ref: Section 18] The Collector shall take possession of land after ensuring that full payment of compensation as well as	Para 58 of RF stipulated that all compensation and assistance will be paid to DPs at least 1 month

SNo	Asian Development Bank's Involuntary Resettlement Policy Requirement	AP R&R Policy 2005	RFCTLARR Act 2013	Remarks and provisions in RFCTLARR Act 2013	Measures to bridge the Gap in the Resettlement Framework of VCICDP
	the resettlement plan under close supervision throughout project implementation			rehabilitation and resettlement entitlements are paid or tendered to the entitled persons within a period of three months for the compensation and a period of six months for monetary part of rehabilitation and resettlement entitlements listed in the Second Schedule commencing from the date of the award made under section 30. [Ref: Section 38 - sub-section 1]	prior to displacement or dispossession of assets
19	Monitoring and assess resettlement outcomes, their impacts on the standard of living of displaced persons, and whether the objectives of the resettlement plan have been achieved by taking into account the baseline conditions and the results of resettlement monitoring. Disclose monitoring reports.	√	✓	The Central Government may, whenever necessary for national or inter-state projects, constitute a National Monitoring Committee for reviewing and monitoring the implementation of rehabilitation and resettlement schemes or plans under this Act. [Ref: Section 48 - sub-section 1]	The Resettlement Framework provides for internal and external monitoring of LARR

SAMPLE MONITORING TEMPLATE

A semi-annual monitoring report shall be prepared on Resettlement Plan implementation and submitted to ADB by the PMC. It will include: (1) the list of APs, with compensation, if any due to each and details of compensation paid with signed receipts annexed to the report, socioeconomic status and satisfaction levels of APs with the Resettlement Plan implementation process, compensation and mitigation measures; (2) the list of vulnerable APs and additional compensation / special protection measures planned/implemented for them; socio-economic status and satisfaction levels of vulnerable APs with the Resettlement Plan implementation process, compensation and mitigation measures; (3) list of affected common facilities affected, plan to restore access and/or actions taken to restore access to the same or facilities of equal quality elsewhere: (4) list of roads for closure and actions planned / taken to minimize disturbance; (5) details of consultations held with APs (with number of participants by gender, issues raised, conclusion / agreement reached, actions required/taken; (6) details of grievances registered, redressed, outstanding complaints, minutes of GRM meetings held; (7) details of information disclosure and awareness generation activities, levels of awareness among target population and behavior change, if any; and (8) any other relevant information showing Resettlement Plan implementation progress. The following checklist may be used for overall monitoring of Resettlement Plan implementation.

S. N.	Resettlement Plan Activities	Completed Y/N	Remarks					
	A. Pre-Construction Activities and Resettlement Plan Activities							
1	Approval of final Resettlement Plan by ADB prior to contract award							
2	Disclosure of final Resettlement Plan on ADB and EA websites							
3	Circulation of summary Resettlement Plan in local languages to all stakeholders							
A. R	esettlement Plan Implementation							
1	Grievance Redress Cell and Committee established							
2	Entitlements and grievance redress procedure disclosed							
3	Finalization of list of APs, vulnerable APs and assistance due							
4	Finalization of road cut plan and full or partial closure of road; mitigation measures proposed							
5	Affected persons received entitlements as per amounts and program specified in Resettlement Plan							
6	Payment of assistance (No. of APs)							
7	Additional assistance for vulnerable households given (No. of vulnerable APs)							
8	Grievances							
	No. of grievances registered							
	No. of grievances redressed							
	Outstanding complaints							
	Disclosure of grievance redress statistics							
9	Consultation, participation and disclosure as per Plan							
C. M	onitoring							
1	Survey on socio-economic status of APs (including vulnerable APs) completed and compared with baseline survey results							
2	Survey on satisfaction levels of APs with Resettlement Plan implementation completed							
D.	Labor							
1	Implementation of all statutory provisions on labor like health, safety,							

S. N.	Resettlement Plan Activities	Completed Y/N	Remarks
	welfare, sanitation, and working conditions by Contractors. Ensuring no child labour used		
	Child labour used		
2	Equal pay for equal work for men and women		

NOTE: Where applicable, the information provided in the table should be supported by detailed explanatory report, receipts and other details.

Appendix-8

PHOTOGRAPHS OF SHOPS / KIOSKS FACING TEMPORSRY LOSS OF INCOME

